STATUTORY INSTRUMENTS

1984 No. 562

SECURITIES

The Dealers in Securities (List) Regulations 1984

Made - - - -	12*th April* 1984
Laid before Parliament	25*th April* 1984
Coming into Operation	18*th May* 1984

The Secretary of State, in the exercise of the powers conferred by sections 15 and 21 of the Prevention of Fraud (Investments) Act 1958(**a**) ("the Act") and now vested in him(**b**) and of all other powers enabling him in that behalf, hereby makes the following regulations:—

Citation, commencement and revocation

1.—(1) These regulations may be cited as the Dealers in Securities (List) Regulations 1984 and shall come into operation on 18th May 1984.

(2) The Prevention of Fraud (Investments) List Regulations 1944(**c**) are hereby revoked.

Frequency of furnishing lists

2. The list which by subsection (3) of section 15 of the Act it is the duty of every recognised stock exchange or recognised association of dealers in securities to furnish to the Secretary of State with respect to each person who, at the date on which the list is furnished, is a member of the stock exchange or of the association, as the case may be, shall be so furnished once a year.

Alexander Fletcher,
Parliamentary Under-Secretary of State,
Department of Trade and Industry.

12th April 1984.

(**a**) 1958 c. 45. (**b**) S.I. 1970/1537. (**c**) S.R. & O. 1944/1457.

EXPLANATORY NOTE

(*This Note is not part of the Regulations.*)

These regulations, which replace the Prevention of Fraud (Investments) List Regulations 1944, prescribe that the frequency with which every stock exchange and association of dealers in securities recognised under the Prevention of Fraud (Investments) Act 1958 is required to supply to the Secretary of State a list of members shall be once a year.

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