COMMISSION

COMMISSION DECISION

of 17 October 2002

establishing a joint framework for the communication of information needed for the application of Council Regulation (EC) No 1407/2002 on State aid to the coal industry

(notified under document number C(2002) 3783)

(2002/871/EC)

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Council Regulation (EC) No 1407/2002 of 23 July 2002 on State aid to the coal industry [1], and in particular Article 12 thereof,

Whereas:

(1) In accordance with Regulation (EC) No 1407/2002, the Commission appraises the measures notified by the Member States relating to aid to the coal industry and decides on their conformity.

(2) To this end, Member States are required to notify the Commission of all the financial support which they intend to grant to the coal industry during a coal production year. They must specify the nature of the support with reference to the forms of aid provided for in Regulation (EC) No 1407/2002. They must submit to the Commission all details relevant to the calculation of the production costs and their relationship to the plans notified to the Commission, particularly closure plans and/or plans for accessing coal reserves.

(3) In order to be able to evaluate compliance with the conditions and criteria laid down for the granting of aid, the Commission needs to have at its disposal detailed, comparable and verifiable information. Accordingly, a joint framework for the notification by the Member States of closure plans and/or plans for accessing coal reserves and for the notification of aid to the coal industry needs to be established.

(4) For the provision of information for the reference year 2001, reference should be made, for that year, to Commission Decision No 3632/93/ECSC [2] of 28 December 1993,

HAS ADOPTED THIS DECISION:

Article 1

This Decision applies to the submission by the Member States of closure plans and/or plans for accessing coal reserves and any changes to those plans, and to the notification of the financial measures which the Member States intend to take for the benefit of the coal industry in a coal production year.

Article 2

For the purposes of this Decision, 'production unit' means underground or opencast coal workings and related infrastructures capable of producing raw coal independently of other production units while complying the legislation of the Member State on safety and health in the extractive industries.

The 'production cost' and the 'current production losses', as defined in Article 2(e) and (f) of Regulation (EC) No 1407/2002, shall be calculable for each production unit.

Article 3

To enable the Commission to evaluate compliance with the conditions and criteria laid down in Article 4 and Article 5(3) of Regulation (EC) No 1407/2002, Member States shall communicate the information referred to in Article 9(4) and Article 9(6)(b), (c), (e) and (f) of that Regulation, using the following forms:

(a) forms A.1 and B.1 in Annex I to this Decision for underground production units;

(b) forms A.2 and B.2 in Annex II to this Decision for opencast production units.

[1] OJ L 205, 2.8.2002, p. 1.
[2] OJ L 329, 31.12.1993, p. 12.

Article 4

To enable the Commission to evaluate compliance with the conditions and criteria laid down in Article 5(2) of Regulation (EC) No 1407/2002, Member States shall communicate the information referred to in Article 9(5) of that Regulation, using the following forms:

(a) form A.1 in Annex I and form D in Annex III to this Decision for underground production units;

(b) form A.2 in Annex II and form D in Annex III to this Decision for opencast production units.

Article 5

To enable the Commission to appraise the financial measures for the benefit of the coal industry during the following coal production year, Member States shall communicate the information referred to in Article 9(10) of Regulation (EC) No 1407/2002, using the following forms:

(a) forms B.1 and C.1 in Annex I to this Decision for underground production units;

(b) forms B.2 and C.2 in Annex II to this Decision for opencast production units.

Article 6

The following shall be notified in free format:

(a) information relating to costs intended to be covered by aid referred to in Article 7 of Regulation (EC) No 1407/2002, in accordance with the categories of costs defined in the Annex to that Regulation;

(b) the information referred to in Article 9(2), Article 9(6)(a), (d) and (g) and Article 9(7) of that Regulation.

Article 7

The documents obtained or compiled by the national authorities in implementing this Decision shall be centralised in the national departments and kept at the disposal of the Commission.

Article 8

This Decision is addressed to the Member States.

Done at Brussels, 17 October 2002.

For the Commission

Loyola DE PALACIO

Vice-President

ANNEX I

FORM A.1

Information for the coal production year 2001

Country: .....

Coalfield: .....

Undertaking: .....

Underground production unit: .....

(i) Article 3 of Decision No 3632/93/ECSC [1]

(ii) Article 4 of Decision No 3632/93/ECSC [1]

Information provided in: (i) the national currency [1] / (ii) euro [1]	Coal production year 2001
1. GENERAL INFORMATION	
(a) underground production (1 000 tonnes of coal equivalent [2])	.....
(b) output (tce/man-year)	.....
(c) average lower calorific value (GJ/tonne) [3]	.....
(d) (i) average registered staff: underground	.....
(ii) average registered staff: total	.....
2. PRODUCTION COSTS	
(a) labour costs (per tce produced)	.....
(b) costs of materials (per tce produced)	.....
(c) direct depreciation (per tce produced)	.....
(d) servicing of capital (per tce produced)	.....
(e) cost of transport to the point of delivery (per tce produced)	.....
(f) coal undertaking overheads (per tce produced)	.....
(g) other costs (per tce produced)	.....
(h) supply costs (per tce produced) [4]	
(points 2(a) to 2(g) inclusive)	.....
— less costs included in the amounts specified in points 2(a) to 2(g) but not connected with current production (restructuring costs, inherited liabilities, other exceptional costs), whether or not covered by aid under Article 5 of Decision No 3632/93/ECSC	
(i) inherited liabilities and restructuring costs (per tce produced)	.....
(j) other (please specify) (per tce produced)	.....
— less costs included in the amounts specified in points 2(a) to 2(g), covered by aid granted under Articles 6 and 7 of Decision No 3632/93/ECSC	
(k) aid for research and development (per tce produced)	.....
(l) aid for environmental protection (per tce produced)	.....
(m) total deductions (per tce produced)	.....
(points 2(i) to 2(l) inclusive)	
(n) costs connected with current production (per tce produced)	.....
(point 2(h) less point 2(m)	

(1) Delete as appropriate.
(2) Tonnes coal equivalent = tce.
(3) 1 tce = 29,302 GJ/tonne.
(4) In accordance with the quarterly cost returns made by the associations of coal undertakings to the Commission.

FORM B.1

Forecasts

(Article 4 or Article 5(3) of Regulation (EC) No 1407/2002)

Coal production year: .....

Country: .....

Coalfield: .....

Undertaking: .....

Underground production unit: .....

(i) Article 4 of Regulation (EC) No 1407/2002 [1]

(ii) Article 5(3) of Regulation (EC) No 1407/2002 [1]

Information provided in: (i) the national currency [1] / (ii) euro [1]	Reference year (N-1)	Forecasting year (N) [2] .....
1. GENERAL INFORMATION		
(a) underground production (1 000 tonnes of coal equivalent [3])	.....	.....
(b) output (tce/man-year)	.....	.....
(c) average lower calorific value (GJ/tonne) [4]	.....	.....
(d) (i) average registered staff: underground	.....	.....
(ii) average registered staff: total	.....	.....
2. PRODUCTION COSTS		
(a) labour costs (per tce produced)	.....	.....
(b) costs of materials (per tce produced)	.....	.....
(c) direct depreciation (per tce produced)	.....	.....
(d) servicing of capital (per tce produced)	.....	.....
(e) cost of transport to the point of delivery (per tce produced)	.....	.....
(f) coal undertaking overheads (per tce produced)	.....	.....
(g) other costs (per tce produced)	.....	.....
(h) production costs (per tce produced) [5] (points 2(a) to 2(g) inclusive)	.....	.....
— less costs included in the amounts specified in points 2(a) to 2(g) but not connected with current production (restructuring costs, inherited costs, other exceptional liabilities), whether or not covered by aid under Article 7 of Regulation (EC) No 1407/2002		
(i) inherited liabilities and restructuring costs (per tce produced)	.....	.....
(j) other (please specify) (per tce produced)	.....	.....
— less costs included in the amounts specified in points 2(a) to 2(g), whether or not covered by aid other than aid provided for in Regulation (EC) No 1407/2002 [6]		
(k) aid for research and development (per tce produced)	.....	.....
(l) aid for environmental protection (per tce produced)	.....	.....

Information provided in: (i) the national currency [1] / (ii) euro [1]	Reference year (N-1)	Forecasting year (N) [2] .....
(m) aid for training (per tce produced)		
(n) total deductions (per tce produced)		
(points 2(i) to 2(m) inclusive)	.....	.....
(o) costs connected with current production (per tce produced)		
(points 2(h) less point 2(n))	.....	.....
3. DELIVERIES AND RECEIPTS		
— information specific to deliveries (per 1 000 tce):		
— to coking plants	.....	.....
— for direct injection into blast furnaces	.....	.....
— to thermal generating plants, including combined heat and power (CHP) production	.....	.....
— other deliveries	.....	.....
— variations in stock: + stockbuilding – stock drawdown	.....	.....
(a) total (per 1 000 tec)	.....	.....
— receipts per tce [7] for:		
— deliveries to coking plants	.....	.....
— deliveries intended for direct injection into blast furnaces	.....	.....
— deliveries to thermal generating plants (including CHP)	.....	.....
— other deliveries	.....	.....
(b) receipts per tce sold	.....	.....
(c) valuation of the variation in stocks per tce	.....	.....
(d) total receipts (per tce produced) [8]	.....	.....
4. LOSSES ELIGIBLE FOR AID		
Losses eligible for aid per tce of coal produced (calculated in accordance with Article 4(b) of Regulation (EC) No 1407/2002)	.....	.....
5. PROPOSED AID UNDER: (i) ARTICLE 4[1] / (ii) ARTICLE 5(3)[1] OF REGULATION (EC) No 1407/2002		
(a) proposed aid (per tce produced)	.....	.....
(b) total aid proposed for the coal production year	.....	.....

(1) Delete as appropriate.
(2) With regard to the information provided for in Article 3 of this Decision, 'forecasting year' refers to each and every year covered by the closure plan and/or plan for accessing coal reserves. With regard to the notifications provided for in Article 5 of this Decision, 'forecasting year' refers to the year in respect of which the aid is notified.
(3) Tonnes coal equivalent = tce.
(4) 1 tce = 29,302 GJ/tonne.
(5) In accordance with the quarterly cost returns made by the associations of coal undertakings to the Commission and with Article 2(e) of Regulation (EC) No 1407/2002.
(6) In accordance with Article 3(1) of Regulation (EC) No 1407/2002.
(7) Net amount of all direct or indirect aid.
(8) In accordance with the quarterly cost returns made by the associations of coal undertakings to the Commission.

FORM C.1

Real data

(Article 4 or Article 5(3) of Regulation (EC) No 1407/2002)

Coal production year: .....

Country: .....

Coalfield: .....

Undertaking: .....

Underground production unit: .....

(i) Article 4 of Regulation (EC) No 1407/2002 [1]

(ii) Article 5(3) of Regulation (EC) No 1407/2002 [1]

Information provided in: (i) the national currency [1] / (ii) euro [1]	Coal production year	
	Forecasts (form B.1)	Real data .....
1. GENERAL INFORMATION		
(a) underground production (1 000 tonnes of coal equivalent) [2]	.....	.....
(b) output (tce/man-year)	.....	.....
(c) average lower calorific value (GJ/tonne) [3]	.....	.....
(d) (i) average registered staff: underground	.....	.....
(ii) average registered staff: total	.....	.....
2. PRODUCTION COSTS		
(a) labour costs (per tce produced)	.....	.....
(b) costs of materials (per tce produced)	.....	.....
(c) direct depreciation (per tce produced)	.....	.....
(d) servicing of capital (per tce produced)	.....	.....
(e) cost of transport to the point of delivery (per tce produced)	.....	.....
(f) coal undertaking overheads (per tce produced)	.....	.....
(g) other costs (per tce produced)	.....	.....
(h) production costs (per tce produced) [4] (points 2(a) to 2(g) inclusive)	.....	.....
— less costs including in the amounts specified in points 2(a) to 2(g) but not connected with production (restructuring costs, inherited liabilities, other exceptional costs), whether or not covered by aid under Article 7 of Regulation (EC) No 1407/2002		
(i) inherited liabilities and restructuring costs (per tce produced)	.....	.....
(j) other (please specify) (per tce produced)	.....	.....
— less costs included in the amounts specified in points 2(a) to 2(g), whether or not covered by aid other than aid provided for in Regulation (EC) No 1407/2002 [5]		
(k) aid for research and development (per tce produced)	.....	.....

Information provided in: (i) the national currency [1] / (ii) euro [1]	Coal production year	
	Forecasts (form B.1)	Real data .....
(l) aid for environmental protection (per tce produced)	.....	.....
(m) aid for training (per tce produced)		
(n) total deductions (per tce produced)		
(points 2(i) to (2(m) inclusive)	.....	.....
(o) costs connected with current production (per tce produced)		
(points 2(h) less point 2(n))	.....	.....
3. DELIVERIES AND RECEIPTS		
— information specific to deliveries (per 1 000 tce):		
— to coking plants	.....	.....
— for direct injection into blast furnaces	.....	.....
— to thermal generating plants, including the production of combined heat and power (CHP)	.....	.....
— other deliveries	.....	.....
— variations in stock: + stockbuilding – stock drawdown	.....	.....
(a) total (per 1 000 tce)	.....	.....
— receipts per tce [6] for:		
— deliveries to coking plants	.....	.....
— deliveries intended for direct injection into blast furnaces	.....	.....
— deliveries to thermal generating plants (including CHP)	.....	.....
— other deliveries	.....	.....
(b) receipts per tce sold	.....	.....
(c) valuation of the variation in stocks per tce	.....	.....
(d) total receipts (per tce produced) [7]	.....	.....
4. LOSSES ELIGIBLE FOR AID		
Losses eligible for aid per tce of coal produced (calculated in accordance with Article 4(b) of Regulation (EC) No 1407/2002)	.....	.....
5. PROPOSED AID UNDER: (i) ARTICLE 4[1] / (ii) ARTICLE 5(3)[1] OF REGULATION (EC) No 1407/2002		
(a) proposed aid (per tce produced)	.....	.....
(b) total aid granted for the coal production year	.....	.....

[1] Delete as appropriate.
[2] Tonnes coal equivalent = tce.
[3] 1 tce = 29,302 GJ/tonne.
[4] In accordance with the quarterly cost returns made by the associations of coal undertakings to the Commission and with Article 2(e) of Regulation (EC) No 1407/2002.
[5] In accordance with Article 3(1) of Regulation (EC) No 1407/2002.
[6] Net amount of all direct or indirect aid.
[7] In accordance with the quarterly cost returns made by the associations of coal undertakings to the Commission.

ANNEX II

FORM A.2

Information relating to the coal production year 2001

Country: .....

Coalfield: .....

Undertaking: .....

Opencast production unit: .....

(i) Article 3 of Decision No 3632/93/ECSC [1]

(ii) Article 4 of Decision No 3632/93/ECSC [1]

Information provided in: (i) the national currency [1] / (ii) euro [1]	Coal production year 2001
1. GENERAL INFORMATION	
(a) opencast production (1 000 tonnes of coal equivalent) [2]	.....
(b) output (tce/man-year)	.....
(c) average lower calorific value (GJ/tonne) [3]	.....
d) (ii) average registered staff: total	.....
2. PRODUCTION COSTS	
(a) prospecting and exploration (per tce produced)	.....
(b) production (per tce produced)	.....
(c) internal transport costs (per tce produced)	.....
(d) washing, sizing and transport to the point of delivery (per tce produced)	.....
(e) refurbishment of the site (per tce produced)	.....
(f) services and other costs (per tce produced)	.....
(g) coal undertaking overheads (per tce produced)	.....
(h) production costs (per tce produced) [4]	
(points 2(a) to 2(g) inclusive)	.....
— less costs included in the amounts specified in points 2(a) to 2(g) but not connected with current production (restructuring costs, inherited liabilities, other exceptional costs), whether or not covered by aid under Article 5 of Decision No 3632/93/ECSC	
(i) inherited liabilities and restructuring costs (per tce produced)	.....
(j) other (please specify) (per tce produced)	.....
— less costs included in the amounts specified in points 2(a) to 2(g), covered by aid granted under Articles 6 and 7 of Decision No 3632/93/ECSC	
(k) aid for research and development (per tce produced)	.....
(l) aid for environmental protection (per tce produced)	.....
(m) total deductions (per tce produced)	.....
(points 2(i) to 2(l) inclusive)	
(n) costs connected with current production (per tce produced)	.....
(point 2(h) less point 2(m))	

(1) Delete as appropriate.
(2) Tonnes coal equivalent = tce.
(3) 1 tce = 29,302 GJ/tonne.
(4) In accordance with the quarterly cost returns made by the associations of coal undertakings to the Commission.

FORM B.2

Forecasts

(Article 4 or Article 5(3) of Regulation (EC) No 1407/2002)

Coal production year: .....

Country: .....

Coalfield: .....

Undertaking: .....

Opencast production unit: .....

(i) Article 4 of Regulation (EC) No 1407/2002 [1]

(ii) Article 5(3) of Regulation (EC) No 1407/2002 [1]

Information provided in: (i) the national currency [1] / (ii) euro [1]	Reference year (N-1)	Forecasting year (N) [2] .....
1. GENERAL INFORMATION		
(a) opencast production (1 000 tonnes coal equivalent) [3]	.....	.....
(b) output (tce/man-year)	.....	.....
(c) average lower calorific value (GJ/tonne) [4]	.....	.....
(d) average registered staff: total	.....	.....
2. PRODUCTION COSTS		
(a) prospecting and exploration (per tce produced)	.....	.....
(b) production (per tce produced)	.....	.....
(c) internal transport costs (per tce produced)	.....	.....
(d) washing, sizing and transport to the point of delivery (per tce produced)	.....	.....
(e) refurbishment of the site (per tce produced)	.....	.....
(f) services and other costs (per tce produced)	.....	.....
(g) coal undertaking overheads (per tce produced)	.....	.....
(h) production costs (per tce produced) [5] (point 2(a) to 2(g) inclusive)	.....	.....
— less costs included in the amounts specified in Sections 2(a) to 2(g) but not connected with current production (restructuring costs, inherited costs, other exceptional liabilities), whether or not covered by aid under Article 7 of Regulation (EC) No 1407/2002		
(i) inherited liabilities and restructuring costs (per tce produced)	.....	.....
(j) other (please specify) (per tce produced)	.....	.....
— less costs included in the amounts specified in points 2(a) to 2(g), whether or not covered by aid other than aid provided for in Regulation (EC) No 1407/2002 [6]		
(k) aid for research and development (per tce produced)	.....	.....
(l) aid for environmental protection (per tce produced)	.....	.....

Information provided in: (i) the national currency [1] / (ii) euro [1]	Reference year (N-1)	Forecasting year (N) [2] .....
(m) aid for training (per tce produced)		
(n) total deductions (per tce produced)		
(points 2(i) to 2(m) inclusive)	.....	.....
(o) costs connected with current production (per tce produced)		
(point 2(h) less point 2(n))	.....	.....
3. DELIVERIES AND RECEIPTS		
— information specific to deliveries (per 1 000 tce):		
— to coking plants	.....	.....
— for direct injection into blast furnaces	.....	.....
— to thermal generating plants, including the production of combined heat and power (CHP)	.....	.....
— other deliveries	.....	.....
— variations in stocks: + stockbuilding - stock drawdown	.....	.....
(a) total (per 1 000 tce)	.....	.....
— receipts per tce [7] for:		
— deliveries to coking plants	.....	.....
— deliveries intended for direct injection into blast furnaces	.....	.....
— deliveries to thermal generating plants (including CHP)	.....	.....
— other deliveries	.....	.....
(b) receipts per tce sold	.....	.....
(c) valuation of the variation in stocks per tce	.....	.....
(d) total receipts (per tce produced) [8]	.....	.....
4. LOSSES ELIGIBLE FOR AID		
Losses eligible for aid per tce of coal produced (calculated in accordance with Article 4(b) of Regulation (EC) No 1407/2002)	.....	.....
5. PROPOSED AID UNDER: (i) ARTICLE 4[1] / (ii) ARTICLE 5(3)[1] OF REGULATION (EC) No 1407/2002		
(a) proposed aid (per tce produced)	.....	.....
(b) total aid proposed for the coal production year	.....	.....

(1) Delete as appropriate.
(2) With regard to the information provided for in Article 3 of this Decision, 'forecasting year' refers to each and every year covered by the closure plan and/or plan for accessing coal reserves. With regard to the notifications provided for in Article 5 of this Decision, 'forecasting year' refers to the year in respect of which the aid is notified.
(3) Tonnes coal equivalent = tce.
(4) 1 tce = 29,302 GJ/tonne.
(5) In accordance with the quarterly cost returns made by the associations of coal undertakings to the Commission and with Article 2(e) of Regulation (EC) No 1407/2002.
(6) In accordance with Article 3(1) of Regulation (EC) No 1407/2002.
(7) Net amount of all direct of indirect aid.
(8) In accordance with the quarterly cost returns made by the associations of coal undertakings to the Commission.

FORM C.2

Real data

(Article 4 or Article 5(3) of Regulation (EC) No 1407/2002)

Coal production year: .....

Country: .....

Coalfield: .....

Undertaking: .....

Opencast production unit: .....

(i) Article 4 of Regulation (EC) No 1407/2002 [1]

(ii) Article 5(3) of Regulation (EC) No 1407/2002 [1]

Information provided in: (i) the national currency [1] / (ii) euro [1]	Coal production year	
	Forecasts (form B.1)	Real data .....
1. GENERAL INFORMATION		
(a) opencast production (1 000 tonnes of coal equivalent) [2]	.....	.....
(b) output (tce/year/man)	.....	.....
(c) average lower calorific value (GJ/tonne) [3]	.....	.....
(d) average registered staff: total	.....	.....
2. PRODUCTION COSTS		
(a) prospecting and exploration (per tce produced)	.....	.....
(b) production (per tce produced)	.....	.....
(c) internal transport costs (per tce produced)	.....	.....
(d) washing, sizing and transport to the point of delivery (per tce produced)	.....	.....
(e) refurbishment of the site (per tce produced)	.....	.....
(f) services and other costs (per tce produced)	.....	.....
(g) coal undertaking overheads (per tce produced)	.....	.....
(h) production costs (per tce produced) [4] (points 2(a) to 2(g) inclusive)	.....	.....
— less costs included in the amounts specified in points 2(a) to 2(g) but not connected with current production (restructuring costs, inherited liabilities, other exceptional costs), whether or not covered by aid under Article 7 of Regulation (EC) No 1407/2002		
(i) inherited liabilities and restructuring costs (per tce produced)	.....	.....
(j) other (please specify) (per tce produced)	.....	.....
— less costs included in the amounts specified in points 2(a) to 2(g), whether or not covered by aid other than aid provided for in Regulation (EC) No 1407/2002 [5]		
(k) aid for research and development (per tce produced)	.....	.....

Information provided in: (i) the national currency [1] / (ii) euro [1]	Coal production year	
	Forecasts (form B.1)	Real data .....
(l) aid for environmental protection (per tce produced)	.....	.....
(m) aid for training (per tce produced)		
(n) total deductions (per tce produced)		
(points 2(i) to 2(m) inclusive)	.....	.....
(o) costs connected with production (per tce produced)		
(point 2(h) less point 2(n))	.....	.....
3. DELIVERIES AND RECEIPTS		
— information specific to deliveries (per 1 000 tce):		
— to coking plants	.....	.....
— for direct injection into blast furnaces	.....	.....
— to thermal generating plants, including the production of combined heat and power (CHP)	.....	.....
— other deliveries	.....	.....
— variations in stock: + stockbuilding – stock drawdown	.....	.....
(a) total (per 1 000 tce)	.....	.....
— receipts per tce [6] for:		
— deliveries to coking plants	.....	.....
— deliveries intended for direct injection into blast furnaces	.....	.....
— deliveries to thermal generating plants (including CHP)	.....	.....
— other deliveries	.....	.....
(b) receipts per tce sold	.....	.....
(c) valuation of the variation in stocks per tce	.....	.....
(d) total receipts (per tce produced) [7]	.....	.....
4. LOSSES ELIGIBLE FOR AID		
Losses eligible for aid per tce of coal produced (calculated in accordance with Article 4(b) of Regulation (EC) No 1407/2002)	.....	.....
5. PROPOSED AID UNDER: (i) ARTICLE 4[1] / (ii) ARTICLE 5(3)[1] OF REGULATION (EC) No 1407/2002		
(a) proposed aid (per tce produced)	.....	.....
(b) total aid granted for the coal production year	.....	.....

(1) Delete as appropriate.
(2) Tonnes coal equivalent = tce.
(3) 1 tce = 29,302 GJ/tonne.
(4) In accordance with the quarterly cost returns made by the associations of coal undertakings to the Commission and with Article 2(e) of Regulation (EC) No 1407/2002.
(5) In accordance with Article 3(1) of Regulation (EC) No 1407/2002.
(6) Net amount of all direct or indirect aid.
(7) In accordance with the quarterly cost returns made by the associations of coal undertakings to the Commission.

ANNEX III

FORM D

Information relating to investment

(Article 5(2) of Regulation (EC) No 1407/2002)

Country: .....

Coalfield: .....

Untertaking: .....

Production unit: .....

Has aid already been granted to the production unit in accordance with Decision No 3632/93/ECSC? .....

.....

— If yes, what category of aid? .....

Information provided in: (i) the national currency [1] / (ii) euro [1]	
1. EXPENDITURE	
A. **Underground workings**	
(a) pits and main dip headings	
(b) roadways and other infrastructure work	
(c) mechanical installations	
(i) extraction and support	
(ii) drivage	
(iii) transport	
(d) modern management	
(remote monitoring, communication, data management)	
(e) washrooms and surface installations	
B. **Opencast workings**	
(a) sites and access works	
(b) machinery	
(c) drivage	
C. **Total**	
— including aid amounting to:	

[1] Delete as appropriate.

2. EXPENDITURE ON INVESTMENT	Expenditure on investment per year									
	2002	2003	2004	2005	2006	2007	2008	2009	2010	Total
A. **Underground workings**										
(a) Pits and main dip headings										
(b) Roadways and other infrastructure work										
(c) Mechanical installations										
(i) extraction and support										
(ii) drivage										
(iii) transport										
(d) Modern management (remote monitoring, communication, data management)										
(e) Washrooms and surface installations										
B. **Opencast workings**										
(a) Sites and access works										
(b) Machinery										
(c) Drivage										
Total										

3. AID GRANTED	Year									
	2002	2003	2004	2005	2006	2007	2008	2009	2010	Total

4. TREND IN PRODUCTION (IN 1 000 TCE [1]) AND PRODUCTION COSTS (PER TCE)	Year									
	2002	2003	2004	2005	2006	2007	2008	2009	2010	Total
(a) Estimated net production without investment										
(b) Estimated net production with investment										
(c) Estimated production costs without investment										
(d) Estimated production costs with investment										

[1] TCE = tonnes coal equivalent.

5. ESTIMATED EXPENDITURE ON INVESTMENT		Dates		Total
Ref.	Brief description of the projects	Start	End	Expenditure
	Total			
	Total amount of aid			

6. BUSINESS PLAN AND FINANCIAL PLAN (showing that the aid granted to the investment project will ensure that the production unit is economically viable)