This document is meant purely as a documentation tool and the institutions do not assume any liability for its contents

►**B**

COMMISSION REGULATION (EC) No 1228/1999

of 28 May 1999

concerning the series of data to be produced for insurance services statistics

(Text with EEA relevance)

(OJ L 154, 19.6.1999, p. 91)

Amended by:

		Official Journal		
		No	page	date
►**M1**	Commission Regulation (EC) No 1792/2006 of 23 October 2006	L 362	1	20.12.2006

Amended by:

►**A1**	Act concerning the conditions of accession of the Czech Republic, the Republic of Estonia, the Republic of Cyprus, the Republic of Latvia, the Republic of Lithuania, the Republic of Hungary, the Republic of Malta, the Republic of Poland, the Republic of Slovenia and the Slovak Republic and the adjustments to the Treaties on which the European Union is founded	L 236	33	23.9.2003

▼B

COMMISSION REGULATION (EC) No 1228/1999

of 28 May 1999

concerning the series of data to be produced for insurance services statistics

(Text with EEA relevance)

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Council Regulation (EC, Euratom) No 58/97 of 20 December 1996 concerning structural business statistics ([1]), as last amended by Regulation (EC, Euratom) No 410/98 ([2]), and in particular Articles 12(ii), (v) and (vii) thereof,

(1) Whereas Regulation (EC, Euratom) No 58/97 established a common framework for the production of Community statistics on the structure, activity, performance and competitiveness of the insurance sector in the Community;

(2) Whereas it is necessary to specify the frequency for the compilation of the multi-yearly insurance services statistics; whereas it is necessary to specify the first reference year for the compilation of the results laid down in the Annex 5 to Regulation (EC, Euratom) No 58/98; whereas it is necessary to specify the breakdown of the results for the production of insurance services statistics;

(3) Whereas the measures provided for in this Regulation are in accordance with the Statistical Programme Committee,

HAS ADOPTED THIS REGULATION:

Article 1

The frequency for the compilation of multi-yearly characteristics, the breakdowns of results and the first reference year for the compilation of the results referred to in Articles 4, 8 and 9 of Regulation (EC, Euratom) No 58/97 are specified in the series of data in the Annex to this Regulation.

Article 2

1. Member States shall produce these series for the data concerning the 1999 reference year and subsequent years for List A characteristics listed in paragraph 3, Section 4 of Annex 5 to Regulation (EC, Euratom) No 58/97 and for the data concerning the 2003 reference year and subsequent years for List B characteristics listed in paragraph 4, Section 4 of Annex 5 to the said Regulation.

2. Member States shall also produce these series for List A characteristics for the 1996, 1997 and 1998 reference years where this corresponds to existing national practices and for List B characteristics for the 2000, 2001 and 2002 reference years where this corresponds to existing national practices.

([1]) OJ L 14, 17.1.1997, p. 1.
([2]) OJ L 52, 21.2.1998, p. 1.

▼B

Article 3

This Regulation shall enter into force on the 20th day following its publication in the *Official Journal of the European Communities*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

▼B

ANNEX

Series of Data

Summary table

Series code	Title
5A	Annual enterprise statistics
5B	Annual enterprise statistics broken down by legal status
5C	Annual enterprise statistics broken down according to the country of residence of the parent enterprise
5D	Annual enterprise statistics broken down by size class
5E	Annual enterprise statistics broken down by product
5F	Annual enterprise statistics by geographical breakdown

▼B

Annual enterprise statistics listed in Annex 5 Section 4 paragraphs 3 and 4 of Council Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 5A

			Type of enterprise or insurance activity
Series name	Annual enterprise statistics		
Frequency	Annual		
Activity coverage	All activities within the scope of NACE Rev.1 division 66 except for class 66.02		
Characteristics A	**Characteristics in Annex 5 Section 4 paragraph 3**		
First reference year	1996		
	11 11 0	Number of enterprises	1, 2, 3, 4
	12 11 0	Gross premiums written	1, 2, 4, 5, 6
	12 11 1	Gross direct premiums written	1, 2, 5, 6
	12 11 3	Gross direct premiums written, individual premiums	1, 5
	12 11 4	Gross direct premiums written, premiums under group contracts	1, 5
	12 11 5	Gross direct premiums written, periodic premiums	1, 5
	12 11 6	Gross direct premiums written, single premiums	1, 5
	12 11 7	Gross direct premiums written, premiums from non-bonus contracts	1, 5
	12 11 8	Gross direct premiums written, premiums from bonus contracts	1, 5
	12 11 9	Gross direct premiums written, premiums from contracts where the investment risk is borne by policyholders	1, 5
	12 11 2	Gross reinsurance premiums accepted, written premiums	1, 2, 4, 5, 6
	32 22 0	Investment income	1, 5
	32 23 0	Unrealised gains on investments	1, 5
	32 25 0	Gross change in life insurance provision	1, 5
	32 27 0	Investment charges	1, 5
	32 28 0	Unrealised losses on investments	1, 5
	32 29 0	Allocated investment return transferred to the non-technical account	1, 5
	32 11 2	Gross change in the provision for unearned premiums	1, 2, 4, 5, 6
	32 12 0	Allocated investment return transferred from the non-technical account	2, 4, 6
	32 13 1	Gross claims payments	1, 2, 4, 5, 6
	32 13 4	Gross change in the provision for outstanding claims	1, 2, 4, 5, 6
	32 14 0	Gross operating expenses	1, 2, 4, 5, 6
	32 15 0	Change in the equalisation provision	2, 4, 6
	32 16 0	Other items in the technical account, gross amount	1, 2, 4, 5, 6

▼B

			Type of enterprise or insurance activity
	32 17 0	Sub-total I (= gross balance of the technical account)	1, 2, 4, 5, 6
	32 18 0	Reinsurance balance	1, 2, 4, 5, 6
	32 18 1	Reinsurers share of gross premiums written	1, 2, 4, 5, 6
	32 18 3	Reinsurers share of the gross change in the provision for unearned premiums	1, 2, 4, 5, 6
	32 18 5	Reinsurers share of gross claims payments	1, 2, 4, 5, 6
	32 18 6	Reinsurers share of gross change in the provision for outstanding claims	1, 2, 4, 5, 6
	32 18 7	Reinsurance commissions and profit participations	1, 2, 4, 5, 6
	32 18 8	Reinsurers share of the gross amount of other items in the technical account	1, 2, 4, 5, 6
	32 33 4	Reinsurers share of gross change in life insurance provision	1, 5
	32 19 0	Sub-total II (= net balance of the technical account)	1, 2, 3, 4, 5, 6
	32 42 0	Investment income	1, 2, 3, 4
	32 43 0	Allocated investment return transferred from the life-assurance technical account	1, 3
	32 44 0	Investment charges	1, 2, 3, 4
	32 45 0	Allocated investment return transferred to the non-life insurance technical account	2, 3, 4
	32 46 0	Other income	1, 2, 3, 4
	32 47 0	Other charges, including value adjustments	1, 2, 3, 4
	32 48 0	Profit or loss on ordinary activities	1, 2, 3, 4
	32 49 0	Extraordinary profit or loss	1, 2, 3, 4
	32 50 0	All taxes (tax on profit or loss on ordinary activities, tax on extraordinary profit or loss, other taxes)	1, 2, 3, 4
	32 51 0	Profit or loss for the financial year	1, 2, 3, 4
	32 61 1	Commissions for total insurance business	1, 2, 3, 4
	32 61 2	Commissions for direct insurance business	1, 2, 3
	32 61 4	External expenses on goods and services	1, 2, 3, 4
	13 31 0	Personnel costs	1, 2, 3, 4
	32 61 5	External and internal claims management expenses	1, 2, 4, 5, 6
	32 61 6	Acquisition costs	1, 2, 4, 5, 6
	32 61 7	Administrative expenses	1, 2, 4, 5, 6
	32 61 8	Gross other technical charges	1, 2, 4, 5, 6
	32 61 9	Investment management charges	1, 2, 4, 5, 6
	32 71 1	Income from participating interests	1, 2, 4, 5, 6
	32 71 3	Income from land and buildings	1, 2, 4, 5, 6

▼B

			Type of enterprise or insurance activity
	32 71 4	Income from other investments	1, 2, 4, 5, 6
	32 71 5	Value re-adjustments on investments	1, 2, 4, 5, 6
	32 71 6	Gains on the realisation on investments	1, 2, 4, 5, 6
	32 72 1	Investment management charges, including interest	1, 2, 4, 5, 6
	32 72 2	Value adjustments on investments	1, 2, 4, 5, 6
	32 72 3	Losses on the realisation on investments	1, 2, 4, 5, 6
	16 11 0	Number of persons employed	1, 2, 3, 4
	36 11 0	Land and buildings	1, 2, 3, 4
	36 11 1	Land and buildings occupied by an insurance enterprise for its own activities	1, 2, 3, 4
	36 12 0	Investments in affiliated enterprises and participating interest	1, 2, 3, 4
	36 12 1	Shares in affiliated enterprises and participating interest	1, 2, 3, 4
	36 12 2	Debt securities issued by, and loans to, affiliated enterprises and to enterprises with which an insurance enterprise is linked by virtue of a participating interest	1, 2, 3, 4
	36 13 0	Other financial investments	1, 2, 3, 4
	36 13 1	Shares and other variable-yield securities and units in unit trusts	1, 2, 3, 4
	36 13 2	Debt securities and other fixed-income securities	1, 2, 3, 4
	36 13 3	Participation in investment pools	1, 2, 3, 4
	36 13 4	Loans guaranteed by mortgages	1, 2, 3, 4
	36 13 5	Other loans	1, 2, 3, 4
	36 13 6	Other (including deposits with credit institutions)	1, 2, 3, 4
	36 14 0	Deposits with ceding enterprises	1, 2, 3, 4

▼B

			Type of enterprise or insurance activity
	36 20 0	Investments for the benefit of life-assurance policyholders who bear the investment risk	1, 3
	36 30 0	Balance sheet total	1, 2, 3, 4
	37 10 0	Total capital and reserves	1, 2, 3, 4
	37 11 0	Subscribed capital or equivalent funds	1, 2, 3, 4
	37 12 0	Share premium account, revaluation reserve, reserve	1, 2, 3, 4
	37 20 0	Subordinated liabilities	1, 2, 3, 4
	37 31 0	Gross provision for unearned premiums	1, 2, 4, 5, 6
	37 32 0	Gross life assurance provision	1, 2, 4, 5, 6
	37 33 0	Gross provision for outstanding claims	1, 2, 4, 5, 6
	37 33 1	Gross provision for outstanding claims, related to direct business	2, 6
	37 34 0	Gross provision for bonuses and rebates	1, 2, 3, 4
	37 35 0	Equalisation provision	1, 2, 3, 4
	37 36 0	Gross other technical provisions	1, 2, 3, 4
	37 37 0	Gross technical provisions for life-assurance policies where the investment risk is borne by the policyholders	1, 3, 4
	37 30 1	Total net technical provisions	1, 2, 3, 4
	37 41 0	Debenture loans	1, 2, 3, 4
	37 42 0	Amounts owed to credit institutions	1, 2, 3, 4
Characteristics B	**Characteristics in Annex 5 Section 4 paragraph 4**		
First reference year	2000		
	32 13 2	Gross payments in respect of claims incurred in the current accounting year	2, 4, 6
	32 16 1	Other technical income, net amount	1, 2, 4, 5, 6
	32 16 2	Net changes in other technical provisions, not shown under other headings	1, 2, 4, 5, 6
	32 16 3	Bonuses and rebates, net amount	1, 2, 4, 5, 6
	32 16 4	Other technical charges, net amount	1, 2, 4, 5, 6
	36 11 2	Land and buildings (current value)	1, 2, 3, 4
	36 12 3	Investments in affiliated enterprises and participating interests (current value)	1, 2, 3, 4
	36 13 8	Other financial investments (current value)	1, 2, 3, 4
	36 21 0	Investments for the benefit of life-assurance policyholders who bear the investment risk — land and buildings	1, 3
	36 22 0	Investments for the benefit of life-assurance policyholders who bear the investment risk — other financial investments	1, 3
Type of enterprise or insurance activity	1. Life insurance enterprises 2. Non-life insurance enterprises 3. Composite insurance enterprises 4. Specialist reinsurance enterprises		

▼B

		Type of enterprise or insurance activity
	5. Life insurance business of composite insurance enterprises 6. Non-life insurance business of composite insurance enterprises (including business accepted)	

▼B

Annual enterprise statistics broken down by legal status listed in Annex 5 Section 4 paragraphs 3 and 4 of Council Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 5B

			Type of enterprise or insurance activity
Series name	Annual enterprise statistics broken down by legal status		
Frequency	Annual		
Activity coverage	All activities within the scope of NACE Rev.1 division 66 except for class 66.02		
Characteristics A	**Characteristics in Annex 5 Section 4 paragraph 3**		
First reference year	1996		
	11 11 1	Number of enterprises broken down by legal status	1, 2, 3, 4
	32 11 4	Gross premiums written broken down by legal status	1, 2, 4, 5, 6
Characteristics B	**Characteristics in Annex 5 Section 4 paragraph 4**		
First reference year	2000		
	37 10 1	Total capital and reserves, broken down by legal status	1, 2, 3, 4
Type of enterprise for insurance activity	1. Life insurance enterprises 2. Non-life insurance enterprises 3. Composite insurance enterprises 4. Specialist reinsurance enterprises 5. Life insurance business of composite insurance enterprises 6. Non-life insurance business of composite insurance enterprises (including business accepted)		
Breakdown by legal status	1. Incorporated enterprises limited by shares 2. Mutual enterprises 3. Branches of insurance enterprises with head office in non-EEA Member States 4. Others		

▼B

Annual enterprise statistics broken down according to the country of residence of the parent enterprise listed in Annex 5 Section 4 paragraph 3 of Council Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 5C

			Type of enterprise or insurance activity
Series name	Annual enterprise statistics broken down according to the country of residence of the parent enterprise		
Frequency	Annual		
Activity coverage	All activities with the scope of NACE Rev.1 division 66 except for class 66.02		
Characteristics A	**Characteristics in Annex 5 Section 4 paragraph 3**		
First reference year	1996		
	11 11 5	Number of enterprises broken down by country of residence of the parent enterprise	1, 2, 3, 4
	32 11 5	Gross direct premiums written broken down according to the country of residence of the parent enterprise	1, 2, 5, 6
	32 11 6	Gross reinsurance premiums accepted, written premiums broken down according to the country of residence of the parent enterprise	1, 2, 4, 6
	32 18 2	Reinsurers share of gross premiums written broken down according to the country of residence of the parent enterprise	1, 2, 4, 5, 6
Type of enterprise or insurance activity	1. Life insurance enterprises 2. Non-life insurance enterprises 3. Composite insurance enterprises 4. Specialist reinsurance enterprises 5. Life insurance business of composite insurance enterprises 6. Non-life insurance business of composite insurance enterprises (including business accepted)		
Breakdown by country of residence of the parent enterprises	1. Parent enterprise situated in the home Member State 2. Parent enterprise situated in other countries		

▼B

Annual enterprise statistics broken down by size class listed in Annex 5 Section 4 paragraph 3 of Council Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 5D

		Type of enterprise or insurance activity
Series name	Annual enterprise statistics broken down by size class	
Frequency	Annual	
Activity coverage	All activities within the scope of NACE Rev.1 division 66 except for class 66.02	
Characteristics A	**Characteristics in Annex 5 Section 4 paragraph 3**	
First reference year	1996	
	11 11 2 Number of enterprises broken down by size class of gross premiums written	1, 2, 3
	11 11 3 Number of enterprises broken down by size class of gross technical provisions	1
Type of enterprise or insurance activity	1. Life insurance enterprises 2. Non-life insurance enterprises 3. Composite insurance enterprises 4. Specialist reinsurance enterprises 5. Life insurance business of composite insurance enterprises 6. Non-life insurance business of composite insurance enterprises (including business accepted)	
Level of size class breakdown	Gross premiums written (in million EUR): < 5, 5 - 50, 51 - 250, 251 - 500, 501 - 1 000, 1 000 + Gross technical provisions (in million EUR): < 50, 50 - 500, 501 - 2 500, 2 501 - 5 000, 5 001 - 10 000, 10 000 +	

▼B

Annual enterprise statistics broken down by products listed in Annex 5 Section 4 paragraphs 3 and 4 of Council Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 5E

			Type of enterprise or insurance activity
Series name	Annual enterprise statistics broken down by products		
Frequency	Annual		
Activity coverage	All activities within the scope of NACE Rev.1 division 66 except for class 66.02		
Characteristics A	**Characteristics in Annex 5 Section 4 paragraph 3**		
First reference year	1996		
	33 11 1	Gross premiums written in direct business by (sub)categories of the CPA (5-digit level and subcategories 66.03.21 and 66.03.22)	1, 2, 5, 6
	33 12 1	Reinsurers share of gross direct premiums written by (sub)categories of the CPA (5-digit level and subcategories 66.03.21 and 66.03.22)	1, 2, 5, 6
	33 13 1	Gross claims incurred, direct business by (sub)categories of the CPA (5-digit level and subcategories 66.03.21 and 66.03.22)	2, 6
	33 14 1	Gross operating expenses, direct business by (sub)categories of the CPA (5-digit level and subcategories 66.03.21 and 66.03.22)	2, 6
	33 15 1	Reinsurance balance, direct business by (sub)categories of the CPA (5-digit level and subcategories 66.03.21 and 66.03.22)	2, 6
Characteristics B	**Characteristics in Annex 5 Section 4 paragraph 4**		
First reference year	2000		
	37 33 3	Gross provision for outstanding claims related to direct business, by (sub) category of the CPA (5-digit level) and subcategories 66.03.21 and 66.03.22	2, 6
	39 10 0	Number of contracts outstanding at the end of the accounting year, relating to direct business for all individual life insurance contracts and for the following subcategories of the CPA: 66.01.1, 66.03.1, 66.03.4 and 66.03.5	1, 2, 5, 6
	39 20 0	Number of insured persons at the end of the accounting year, relating to direct business for all group life insurance contracts and for the following subcategory of the CPA: 66.03.1	1, 2, 5, 6
Optional characteristics			
	39 30 0	Number of insured vehicles at the end of the accounting year, relating to direct business, for the following subcategory of the CPA: 66.03.2	2, 6
	39 40 0	Gross insured sum at the end of the accounting year, relating to direct business, for the following subcategories of the CPA: 66.01.1 and 66.01.4	1, 5

▼B

			Type of enterprise or insurance activity
	39 50 0	Number of claims incurred during the accounting year, relating to direct business, for the following subcategory of the CPA: 66.03.2	2, 6
Type of enterprise or insurance activity	1. Life insurance enterprises 2. Non-life insurance enterprises 3. Composite insurance enterprises 4. Specialist reinsurance enterprises 5. Life insurance business of composite insurance enterprises 6. Non-life insurance business of composite insurance enterprises (including business accepted)		
Breakdown by products	CPA		
	66.01.1	Non linked life insurance services	
	66.01.2	Linked life insurance services	
	66.01.3	Tontine insurance services	
	66.01.4	Capital redemption insurance services	
	66.01.5	Other life insurance services	
	66.02.1	Group pension services	
	66.03.1	Accident and health insurance services	
	66.03.2	Motor vehicle insurance services	
	66.03.21	Motor vehicle insurance services, third party liability	
	66.03.22	Motor vehicle insurance services, other classes	
	66.03.3	Marine, aviation and transport insurance services	
	66.03.4	Fire and other damage to property insurance services	
	66.03.5	General liability insurance services	
	66.03.6	Credit and suretyship insurance services	
	66.03.7	Assistance, legal expenses and miscellaneous financial loss insurance services	
	66.03.8	Other non-life insurance services	

▼B

Annual enterprise statistics by geographical breakdown listed in Annex 5 Section 4 paragraphs 3 and 4 of Council Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 5F

			Type of enterprise or insurance activity
Series name	Annual enterprise statistics by geographical breakdown		
Frequency	Annual		
Activity coverage	All activities within the scope of NACE Rev. 1 division 66 except for class 66.02		
Characteristics A	**Characteristics in Annex 5 Section 4 paragraph 3**		
First reference year	1996		
	11 41 0	Total number and location of branches in other countries	1, 2, 3
	34 31 1	Gross direct premiums written by CPA category (5-digit level) and by Member State, geographical breakdown of the business written under the right of establishment (for the CPA breakdown see series 5 E)	1, 2, 5, 6
	34 32 1	Gross direct premiums written by CPA category (5-digit level) and by Member State, geographical breakdown of the business written under the right of freedom to provide services (for the CPA breakdown see series 5E)	1, 2, 5, 6
Characteristics B	**Characteristics in Annex 5 Section 4 paragraph 4**		
First reference year	2000		
	34 11 0	Geographical breakdown — in general — of gross direct premiums written	1, 2, 5, 6
	34 12 0	Geographical breakdown — in general — of gross reinsurance premiums accepted, premiums written	1, 2, 4, 5, 6
	34 13 0	Geographical breakdown — in general — of reinsurers share of gross premiums written	1, 2, 4, 5, 6
Type of enterprise of insurance activity	1. Life insurance enterprises 2. Non-life insurance enterprises 3. Composite insurance enterprises 4. Specialist reinsurance enterprises 5. Life insurance business of composite insurance enterprises 6. Non-life insurance business of composite insurance enterprises (including business accepted)		

▼B

		Type of enterprise or insurance activity
►M1 Geographical breakdown ◄	►M1 Geographical breakdown by Member State: 1. Belgique/België 2. България 3. Česká republika 4. Danmark 5. Deutschland 6. Eesti 7. Ελλάδα 8. España 9. France 10. Ireland 11. Italia 12. Κύπρος 13. Latvija 14. Lietuva 15. Luxembourg 16. Magyarország 17. Malta 18. Nederland 19. Österreich 20. Polska 21. Portugal 22. România 23. Slovenija 24. Slovensko 25. Suomi/Finland 26. Sverige 27. United Kingdom 28. Island 29. Liechtenstein 30. Norge 31. Schweiz/Suisse/Svizzera ◄ Geographical breakdown in general: — In the Member State of the head office — In other Member States — In other EEA countries — Schweiz/Suisse/Svizzera — USA — Japan — In other third countries (rest of the world)	