This document is meant purely as a documentation tool and the institutions do not assume any liability for its contents

►**B**

COUNCIL REGULATION (EC) No 1210/2003

of 7 July 2003

concerning certain specific restrictions on economic and financial relations with Iraq and repealing Regulation (EC) No 2465/96

(OJ L 169, 8.7.2003, p. 6)

Amended by:

		Official Journal		
		No	page	date
►**M1**	Council Regulation (EC) No 1799/2003 of 13 October 2003	L 264	12	15.10.2003
►**M2**	Commission Regulation (EC) No 2119/2003 of 2 December 2003	L 318	9	3.12.2003
►**M3**	Commission Regulation (EC) No 2204/2003 of 17 December 2003	L 330	7	18.12.2003

▼B

COUNCIL REGULATION (EC) No 1210/2003

of 7 July 2003

concerning certain specific restrictions on economic and financial relations with Iraq and repealing Regulation (EC) No 2465/96

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Articles 60 and 301 thereof,

Having regard to Common Position 2003/495/CFSP on Iraq and repealing Common Positions 1996/741/CFSP and 2002/599/CFSP ([1]),

Having regard to the proposal from the Commission,

Whereas:

(1) Further to United Nations Security Council Resolution 661 (1990) and subsequent relevant Resolutions, in particular Resolution 986 (1995), the Council imposed a comprehensive embargo on trade with Iraq. This embargo is at present laid down in Council Regulation (EC) No 2465/96 of 17 December 1996 concerning the interruption of economic and financial relations between the European Community and Iraq ([2]).

(2) In its Resolution 1483 (2003) of 22 May 2003, the Security Council decided that, with certain exceptions, all prohibitions related to trade with Iraq and the provision of financial or economic resources to Iraq should no longer apply.

(3) With the exception of a ban on exports of arms and related materiel to Iraq, the Resolution provides that the comprehensive restrictions concerning trade should be repealed and replaced with specific restrictions applying to proceeds from all export sales of petroleum, petroleum products, and natural gas from Iraq, and to trade in goods belonging to Iraq's cultural heritage with the objective of facilitating the safe return of those goods.

(4) The Resolution also states that certain funds and economic resources, in particular those belonging to former Iraqi President Saddam Hussein and senior officials of his regime, should be frozen, subject to designation by the Committee of the Security Council established pursuant to paragraph 6 of Resolution 661 (1990), and that such funds should subsequently be transferred to the Development Fund for Iraq.

(5) In order to allow the Member States to effect the transfer of frozen funds, economic resources and proceeds of economic resources to the Development Fund for Iraq, provision should be made for such funds and economic resources to be unfrozen.

(6) The Resolution stipulates that all petroleum, petroleum products and natural gas exported by Iraq, as well as the payments for such goods, should be exempt from legal proceedings, attachment, garnishment and execution by those having claims against Iraq. This temporary measure is necessary in order to promote the economic reconstruction of Iraq and the restructuring of its debt, which will help remove the threat to international peace and security constituted by the current situation in Iraq in the common interest of the international community and in particular the Community and its Member States.

(7) Common Position 2003/495/CFSP makes provision for an amendment of the current Community regime in order to align it with UNSC Resolution 1483 (2003).

([1]) See page 72 of this Official Journal.
([2]) OJ L 337, 27.12.1996, p. 1. Regulation as last amended by Commission Regulation (EC) No 208/2003 (OJ L 28, 4.2.2003, p. 26).

▼B

(8) These measures fall under the scope of the Treaty and, therefore, in particular with a view to avoiding distortion of competition, Community legislation is necessary to implement the relevant decisions of the Security Council as far as the territory of the Community is concerned. For the purposes of this Regulation, the territory of the Community is deemed to encompass the territories of the Member States to which the Treaty is applicable, under the conditions laid down in that Treaty.

(9) In order to create maximum legal certainty within the Community, the names and other relevant data relating to natural or legal persons, groups or entities identified by the UN authorities whose funds and economic resources are to be frozen, should be published and a procedure established within the Community to amend these lists.

(10) For reasons of expediency, the Commission should be empowered to amend the Annexes to this Regulation setting out the list of cultural goods, the lists of persons, bodies and entities, whose funds and economic resources are to be frozen, and the list of competent authorities.

(11) The competent authorities of the Member States should, where necessary, be empowered to ensure compliance with the provisions of this Regulation.

(12) The Commission and Member States should inform each other of the measures taken under this Regulation and of other relevant information at their disposal in connection with this Regulation, and should cooperate with the Committee established by UNSC Resolution 661 (1990), in particular by supplying it with information.

(13) Member States should lay down rules on sanctions applicable to infringements of the provisions of this Regulation and ensure that they are implemented. Those sanctions should be effective, proportionate and dissuasive.

(14) Since the comprehensive trade measures of Regulation (EC) No 2465/96 are being replaced by the specific trade restrictions of this Regulation, and this Regulation imposes freezing measures which require immediate application by economic operators, it is necessary to ensure that sanctions for breaches of this Regulation can be imposed as soon as it enters into force.

(15) For the sake of clarity, Regulation (EC) No 2465/96 should be repealed in its entirety.

(16) Council Regulation (EEC) No 3541/92 of 7 December 1992 prohibiting the satisfying of Iraqi claims with regard to contracts and transactions, the performance of which was affected by United Nations Security Council Resolution 661 (1990) and related resolutions [1] should remain in force,

HAS ADOPTED THIS REGULATION:

Article 1

For the purpose of this Regulation, the following definitions shall apply:

1. ‘Sanctions Committee’ means: the Committee of the Security Council of the United Nations which was established pursuant to paragraph 6 of Resolution 661 (1990);
2. ‘funds’ means financial assets and economic benefits of every kind, including but not limited to:
   (a) cash, cheques, claims on money, drafts, money orders and other payment instruments;

[1] OJ L 361, 10.12.1992, p. 1.

▼B

(b) deposits with financial institutions or other entities, balances on accounts, debts and debt obligations;

(c) publicly- and privately-traded securities and debt instruments, including stocks and shares, certificates representing securities, bonds, notes, warrants, debentures and derivatives contracts;

(d) interest, dividends or other income on or value accruing from or generated by assets;

(e) credit, right of set-off, guarantees, performance bonds or other financial commitments;

(f) letters of credit, bills of lading, bills of sale;

(g) documents evidencing an interest in funds or financial resources,

(h) any other instrument of export-financing;

3. 'economic resources' means assets of every kind, whether tangible or intangible, movable or immovable, which are not funds but can be used to obtain funds, goods or services;

4. 'freezing of funds' means preventing any move, transfer, alteration, use of or dealing with funds in any way that would result in any change in their volume, amount, location, ownership, possession, character, destination or other change that would enable the use of the funds, including portfolio management;

5. 'freezing of economic resources' means preventing their use to obtain funds, goods or services in any way, including, but not limited to, by selling, hiring or mortgaging them;

6. 'Development Fund for Iraq' means the Development Fund for Iraq held by the Central Bank of Iraq.

Article 2

All proceeds from all export sales of petroleum, petroleum products, and natural gas from Iraq, as listed in Annex I, as of 22 May 2003 shall be deposited into the Development Fund for Iraq under the conditions set out in UNSC Resolution 1483 (2003) and in particular paragraphs 20 and 21 thereof, until such time as an internationally recognized, representative government of Iraq is properly constituted.

Article 3

1. The following shall be prohibited:

(a) the import of or the introduction into the territory of the Community of,

(b) the export of or removal from the territory of the Community of, and

(c) the dealing in, Iraqi cultural property and other items of archaeological, historical, cultural, rare scientific and religious importance including those items listed in Annex II, if they have been illegally removed from locations in Iraq, in particular, if:

(i) the items form an integral part of either the public collections listed in the inventories of Iraqi museums, archives or libraries' conservation collection, or the inventories of Iraqi religious institutions, or

(ii) there exists reasonable suspicion that the goods have been removed from Iraq without the consent of their legitimate owner or have been removed in breach of Iraq's laws and regulations.

2. These prohibitions shall not apply if it is shown that either:

(a) the cultural items were exported from Iraq prior to 6 August 1990; or

(b) the cultural items are being returned to Iraqi institutions in accordance with the objective of safe return as set out in paragraph 7 of UNSC Resolution 1483 (2003).

▼M1

Article 4

1. All funds and economic resources of the previous Government of Iraq, or of any of the public bodies, corporations, including companies established under private law in which the public authorities have a majority or controlling stake, or agencies of that Government, identified by the Sanctions Committee and listed in Annex III, shall be frozen, if they were located outside Iraq on the date of 22 May 2003.

2. All funds and economic resources belonging to, or owned or held by the following persons, identified by the Sanctions Committee and listed in Annex IV, shall be frozen:

(a) former President Saddam Hussein;

(b) senior officials of his regime;

(c) immediate members of their families; or

(d) legal persons, bodies or entities owned or controlled directly or indirectly by the persons referred to in (a), (b) and (c) or by any natural or legal person acting on their behalf or at their direction.

3. No funds shall be made available, directly or indirectly to, or for the benefit of, a natural or legal person, body or entity listed in Annex IV.

4. No economic resources shall be made available, directly or indirectly to, or for the benefit of, a natural or legal person, body or entity listed in Annex IV, so as to enable that person, body or entity to obtain funds, goods or services.

▼B

Article 5

1. The crediting of frozen accounts shall be allowed on the condition that any additions shall be frozen.

▼M1

Article 6

1. 1. By way of derogation from Article 4, the competent authorities of the Member States, as listed in Annex V, may authorise the release of frozen funds or economic resources, if all of the following conditions are met:

(a) the funds or economic resources are the subject of a judicial, administrative or arbitral lien established prior to 22 May 2003 or of a judicial, administrative or arbitral judgment rendered prior to that date;

(b) the funds or economic resources will be used exclusively to satisfy claims secured by such a lien or recognised as valid in such a judgment, within the limits set by applicable laws and regulations governing the rights of persons having such claims;

(c) satisfying the claim is not in breach of Regulation (EC) No 3541/92; and

(d) recognising the lien or judgment is not contrary to public policy in the Member State concerned.

2. In all other circumstances, funds, economic resources and proceeds of economic resources frozen pursuant to Article 4 shall only be unfrozen for the purpose of their transfer to the Development Fund for Iraq held by the Central Bank of Iraq, under the conditions set out in UNSC Resolution 1483 (2003).

▼B

Article 7

1. The participation, knowingly and intentionally, in activities, the object or effect of which is, directly or indirectly, to circumvent Article 4 or to promote the transactions referred to in Articles 2 and 3, shall be prohibited.

▼B

2. Any information that the provisions of this Regulation are being, or have been, circumvented shall be notified to the competent authorities of the Member States, as listed in Annex V, and, directly or through these competent authorities, to the Commission.

Article 8

1. Without prejudice to the applicable rules concerning reporting, confidentiality and professional secrecy and to the provisions of Article 284 of the Treaty, natural and legal persons, entities and bodies shall:

(a) provide immediately any information which would facilitate compliance with this Regulation, such as accounts and amounts frozen in accordance with Article 4, to the competent authorities of the Member States listed in Annex V where they are resident or located, and, directly or through these competent authorities, to the Commission;

(b) cooperate with the competent authorities listed in Annex V in any verification of this information.

2. Any information provided or received in accordance with this Article shall be used only for the purposes for which it was provided or received.

3. Any additional information directly received by the Commission shall be made available to the competent authorities of the Member States concerned.

Article 9

The freezing of funds and economic resources, carried out in good faith that such action is in accordance with this Regulation, shall not give rise to liability of any kind on the part of the natural or legal person or entity implementing it, or its directors or employees, unless it is proved that the funds and economic resources were frozen as result of negligence.

Article 10

1. The following shall be immune from legal proceedings and shall not be subjected to any form of attachment, garnishment or execution:

(a) petroleum, petroleum products and natural gas originating in Iraq, until title to such goods has been passed to a purchaser;

(b) proceeds and obligations arising from the sale of petroleum, petroleum products and natural gas originating in Iraq, including payments for such goods deposited into the Development Fund for Iraq held by the Central Bank of Iraq;

(c) funds and economic resources frozen pursuant to Article 4;

(d) the Development Fund for Iraq held by the Central Bank of Iraq.

2. By way of derogation from paragraph 1, the proceeds and obligations arising from the sale of petroleum, petroleum products and natural gas originating in Iraq, and the Development Fund for Iraq shall not be immune from claims made on the basis of Iraq's liability for damages in connection with any ecological accident occurring after 22 May 2003.

Article 11

The Commission shall be empowered to:

(a) amend Annex II as necessary;

(b) amend or supplement Annexes III and IV on the basis of determinations made by either the United Nations Security Council or the Sanctions Committee; and

(c) amend Annex V on the basis of information supplied by Member States.

▼B

Article 12

Without prejudice to the rights and obligations of the Member States under the Charter of the United Nations, the Commission shall maintain all necessary contacts with the Sanctions Committee for the purpose of the effective implementation of this Regulation.

Article 13

The Commission and the Member States shall immediately inform each other of any measures taken pursuant to this Regulation. They shall supply each other with relevant information at their disposal in connection with this Regulation, in particular information received in accordance with Article 8 and information relating to breaches of the provisions of this Regulation, enforcement problems and judgements handed down by national courts.

Article 14

This Regulation shall apply notwithstanding any rights conferred or obligations imposed by any international agreement signed or any contract entered into or any licence or permit granted before the entry into force of this Regulation.

Article 15

1. The Member States shall lay down the rules on sanctions applicable to infringements of the provisions of this Regulation and shall take all measures necessary to ensure that they are implemented. The sanctions provided for must be effective, proportionate and dissuasive.

2. Pending the adoption, where necessary, of any legislation to that end, the sanctions to be imposed where the provisions of this Regulation are infringed shall, where relevant, be those determined by the Member States in order to give effect to Article 7(3) of Regulation (EC) No 2465/96.

3. Each Member State shall be responsible for bringing proceedings against any natural or legal person, group or entity under its jurisdiction, in cases of breach of any of the restrictive measures laid down in this Regulation by any such person, group or entity.

Article 16

This Regulation shall apply:

(a) within the territory of the Community, including its airspace;

(b) on board any aircraft or any vessel under the jurisdiction of a Member State;

(c) to any person elsewhere who is a national of a Member State;

(d) to any legal person, group or entity which is incorporated or constituted under the law of a Member State;

(e) to any legal person, group or entity doing business within the Community.

Article 17

Regulation (EC) No 2465/96 is hereby repealed.

Article 18

1. This Regulation shall enter into force on the day following that of its publication in the *Official Journal of the European Union*.

2. Save for Articles 4 and 6, it shall apply from 23 May 2003.

3. Article 10 shall apply until 31 December 2007.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

▼B

ANNEX I

List of goods referred to in Article 2

CN code	Product description
2709 00	Petroleum oils and oils obtained from bituminous minerals, crude
2710	Petroleum oils and oils obtained from bituminous minerals, other than crude; preparations not elsewhere specified or included, containing by weight 70 % or more or petroleum oils or of oils obtained from bituminous minerals, these oils being the basic constituents of the preparations
2711	Petroleum gases and other gaseous hydrocarbons
2712 10	Petroleum jelly
2712 20 00	Paraffin wax containing by weight less than 0,75 % of oil
ex 2712 90	'Slack wax', 'scale wax'
2713	Petroleum coke, petroleum bitumen and other residues of petroleum oils or of oils obtained from bituminous minerals
2714	Bitumen and asphalt, natural; bituminous or oil shale and tar sands; asphaltites and asphaltic rocks
2715 00 00	Bituminous mixtures based on natural asphalt, on natural bitumen, on petroleum bitumen, on mineral tar or on mineral tar pitch (for example, bituminous mastics, cutbacks)
2901	Acyclic hydrocarbons
2902 11 00	Cyclohexane
2902 20 00	Benzene
2902 30 00	Toluene
2902 41 00	o-Xylene
2902 42 00	m-Xylene
2902 43 00	p-Xylene
2902 44	Mixed xylene isomers
2902 50 00	Styrene
2902 60 00	Ethylbenzene
2902 70 00	Cumene
2905 11 00	Methanol (methyl alcohol)
3403 19 10	Lubricating preparations (including cutting-oil preparations, bolt or nut release preparations, anti-rust or anti-corrosion preparations and mould release preparations, based on lubricants) and preparations containing, as basic constituents, 70 % or more by weight of petroleum oils or of oils obtained from bituminous minerals but not as the basic constituent
3811 21 00	Additives for lubricating oils containing petroleum oils or oils obtained from bituminous minerals
3824 90 10	Petroleum sulphonates, excluding petroleum sulphonated of alkali metals, of ammonium or of ethanolamines; thiophenated sulphonic acids of oils obtained from bituminous minerals, and their salts

▼B

ANNEX II

List of goods referred to in Article 3

ex CN code	Product description
9705 00 00 9706 00 00	1. Archaeological objects more than 100 years old which are the products of: — excavations and finds on land or under water — archaeological sites — archaeological collections
9705 00 00 9706 00 00	2. Elements forming an integral part of artistic, historical or religious monuments which have been dismembered, of an age exceeding 100 years
9701	3. Pictures and paintings, other than those included in category 3A or 4, executed entirely by hand in any medium and on any material, which are more than 50 years old and do not belong to their originators
9701	3A. Water-colours, gouaches and pastels executed entirely by hand on any material, which are more than 50 years old and do not belong to their originators
6914 9701	4. Mosaics in any material executed entirely by hand, other than those falling in categories 1 or 2, and drawings in any medium executed entirely by hand on any material, which are more than 50 years old and do not belong to their originators
Chapter 49 9702 00 00 8442 50 99	5. Original engravings, prints, serigraphs and lithographs with their respective plates and original posters, which are more than 50 years old and do not belong to their originators
9703 00 00	6. Original sculptures or statuary and copies produced by the same process as the original, which are more than 50 years old and do not belong to their originators, excluding those in category 1
3704 3705 3706 4911 91 80	7. Photographs, films and negatives thereof, which are more than 50 years old and do not belong to their originators
9702 00 00 9706 00 00 4901 10 00 4901 99 00 4904 00 00 4905 91 00 4905 99 00 4906 00 00	8. Incunabula and manuscripts, including maps and musical scores, singly or in collections, which are more than 50 years old and do not belong to their originators
9705 00 00 9706 00 00	9. Books more than 100 years old, singly or in collections
9706 00 00	10. Printed maps more than 200 years old
3704 3705 3706 4901 4906 9705 00 00 9706 00 00	11. Archives, and any elements thereof, of any kind or any medium which are more than 50 years old
9705 00 00 9705 00 00	12. (a) Collections, as defined by the Court of Justice in its judgment in Case 252/84 [1], and specimens from zoological, botanical, mineralogical or anatomical collections; (b) Collections, as defined by the Court of Justice in its judgment in Case 252/84, of historical, palaeontological, ethnographic or numismatic interest
9705 00 00 Chapters 86-89	13. Means of transport more than 75 years old

▼B

ex CN code	Product description
	14. Any other antique items not included in categories 1 to 13
	(a) between 50 and 100 years old:
Chapter 95	— toys, games
7013	— glassware
7114	— articles of goldsmiths' or silversmiths' wares
Chapter 94	— furniture
Chapter 90	— optical, photographic or cinematographic apparatus
Chapter 92	— musical instruments
Chapter 91	— clocks and watches and parts thereof
Chapter 44	— articles of wood
Chapter 69	— pottery
5805 00 00	— tapestries
Chapter 57	— carpets
4814	— wallpaper
Chapter 93	— arms
9706 00 00	(b) more than 100 years old

(1) Collectors' pieces within the meaning of heading N° 97.05 of the Common Customs Tariff are articles which possess the requisite characteristics for inclusion in a collection, that is to say, articles which are relatively rare, are not normally used for their original purpose, are the subject of special transactions outside the normal trade in similar utility articles and are of high value.

▼**M2**

ANNEX III

List of public bodies, corporations and agencies and natural and legal persons, bodies and entities of the previous government of Iraq referred to in Article 4

1. Central Bank of Iraq, Rashid Street, Baghdad, Iraq. Other information: former Governor was Dr Issam El Moulla HWEISH; offices in Mosul and Basra.
2. Iraq Re-insurance Company, Al Khalani Square, Baghdad, Iraq.
3. Rasheed Bank (*alias* (a) Al-Rashid Bank, (b) Al Rashid Bank, (c) Al-Rasheed Bank); PO Box 7177, Haifa Street, Baghdad, Iraq, or Al Masarif Street, Baghdad, Iraq.
4. Rafidain Bank (*alias* Al-Rafidain Bank), Rashid Street, Baghdad, Iraq. Other information: offices in Iraq, United Kingdom, Jordan, United Arab Emirates, Yemen, Sudan and Egypt.
5. Iraqi Airways Company (*alias* (a) Iraq Airways Company, (b) Iraqi Airways, (c) Iraq Airways, (d) IAC, (e) I.A.C.)

▼B

ANNEX IV

List of natural and legal persons, bodies or entities associated with the regime of former President Saddam Hussein referred to in Article 4(2), (3) and (4)

1. NAME: **Saddam Hussein Al-Tikriti**

   ALIAS: Abu Ali

   DATE OF BIRTH/PLACE OF BIRTH: 28 April 1937, al-Awja, near Tikrit

   NATIONALITY: Iraq

   UNSC RESOLUTION 1483 BASIS:

   Named in 1483

2. NAME: **Qusay Saddam Hussein Al-Tikriti**

   DATE OF BIRTH/PLACE OF BIRTH: 1965 or 1966, Baghdad

   NATIONALITY: Iraq

   UNSC RESOLUTION 1483 BASIS:

   Saddam's second son;

   Oversaw Special Republican Guard, Special Security Organisation, and Republican Guard

3. NAME: **Uday Saddam Hussein Al-Tikriti**

   DATE OF BIRTH/PLACE OF BIRTH: 1964 or 1967, Baghdad

   NATIONALITY: Iraq

   UNSC RESOLUTION 1483 BASIS:

   Saddam's eldest son;

   Leader of Paramilitary Organisation Fedayeen Saddam

4. NAME: **Abid Hamid Mahmud Al-Tikriti**

   ALIAS: Abid Hamid Bid Hamid Mahmud

   Col Abdel Hamid Mahmoud

   Abed Mahmoud Hammud

   DATE OF BIRTH/PLACE OF BIRTH: Circa 1957, al-Awja, near Tikrit

   NATIONALITY: Iraq

   UNSC RESOLUTION 1483 BASIS:

   Saddam's Presidential Secretary and Key Advisor

5. NAME: **Ali Hassan Al-Majid Al-Tikriti**

   ALIAS: Al-Kimawi

   DATE OF BIRTH/PLACE OF BIRTH: 1943, al-Awja, near Tikrit, Iraq

   NATIONALITY: Iraq

   UNSC RESOLUTION 1483 BASIS:

   Presidential Advisor and Senior Member of Revolutionary Command Council

6. NAME: **Izzat Ibrahim al-Duri**

   ALIAS: Abu Brays

   Abu Ahmad

   DATE OF BIRTH/PLACE OF BIRTH: 1942, al-Dur

   NATIONALITY: Iraq

   UNSC RESOLUTION 1483 BASIS:

▼B

Deputy Commander-in-Chief of Iraqi Military,

Deputy Secretary, Ba'th Party Regional Command,

Vice Chairman, Revolutionary Command Council

7. NAME: **Hani Abd-Al-Latif Tilfah Al-Tikriti**

DATE OF BIRTH/PLACE OF BIRTH: Circa 1962, al-Awja, near Tikrit

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

No 2 in Special Security Organisation

8. NAME: **Aziz Salih al-Numan**

DATE OF BIRTH/PLACE OF BIRTH: 1941 or 1945, An Nasiriyah

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman;

Former Governor of Karbala and An Najaf;

Former Minister of Agriculture and Agrarian Reform (1986-1987)

9. NAME: **Muhammad Hamza Zubaidi**

DATE OF BIRTH/PLACE OF BIRTH: 1938, Babylon, Babil

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Former Prime Minister

10. NAME: **Kamal Mustafa Abdallah**

ALIAS: Kamal Mustafa Abdallah Sultan al-Tikriti

DATE OF BIRTH/PLACE OF BIRTH: 1952 or 4 May 1955, Tikrit

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Republican Guard Secretary;

Led Special Republican Guards and commanded both Republican Guard Corps

11. NAME: **Barzan Abd al-Ghafur Sulaiman Majid Al-Tikriti**

ALIAS: Barzan Razuki Abd al-Ghafur

DATE OF BIRTH/PLACE OF BIRTH: 1960, Salah al-Din

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Commander, Special Republican Guard

12. NAME: **Muzahim Sa'b Hassan Al-Tikriti**

DATE OF BIRTH/PLACE OF BIRTH: Circa 1946 or 1949 or 1960, Salah al-Din or al-Awja near Tikrit

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Led Iraq's Air Defence Forces;

Deputy Director of Organisation of Military Industrialisation

13. NAME: **Ibrahim Ahmad Abd al-Sattar Muhammed Al-Tikriti**

DATE OF BIRTH/PLACE OF BIRTH: 1950, Mosul

NATIONALITY: Iraq

▼B

UNSC RESOLUTION 1483 BASIS:

Armed Forces Chief of Staff

14. NAME: **Saif-al-Din Fulayyih Hassan Taha Al-Rawi**

ALIAS: Ayad Futayyih Al-Rawi

DATE OF BIRTH/PLACE OF BIRTH: 1953, Ramadi

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Republican Guard Chief of Staff

15. NAME: **Rafi Abd-al-Latif Tilfah Al-Tikriti**

DATE OF BIRTH/PLACE OF BIRTH: Circa 1954, Tikrit

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Director of Directorate of General Security

16. NAME: **Tahir Jalil Habbush Al-Tikriti**

DATE OF BIRTH/PLACE OF BIRTH: 1950, Tikrit

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Director of Iraqi Intelligence Services;

Head of Directorate of General Security 1997 to 1999

17. NAME: **Hamid Raja Shalah Al-Tikriti**

ALIAS: Hassan Al-Tikriti; Hamid Raja-Shalah Hassum Al-Tikriti;

DATE OF BIRTH/PLACE OF BIRTH: 1950, Bayji, Salah al-Din Governorate

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Air Force Commander

18. NAME: **Latif Nusayyif Jasim Al-Dulaymi**

DATE OF BIRTH/PLACE OF BIRTH: Circa 1941, ar-Rashidiyah, suburb of Baghdad

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Military Bureau Deputy Chairman;

Labour and Social Affairs Minister (1993 to 1996)

19. NAME: **Abd-al-Tawwab Mullah Huwaysh**

DATE OF BIRTH/PLACE OF BIRTH: Circa 1957 or 14 March 1942, either Mosul or Baghdad

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Deputy Prime Minister;

Director of Organisation of Military Industrialisation

20. NAME: **Taha Yassin Ramadan Al-Jizrawi**

DATE OF BIRTH/PLACE OF BIRTH: Circa 1938, Mosul

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Vice President since 1991

▼B

21. NAME: **Rukan Razuki Abd-al-Ghafur Sulaiman Al-Tikriti**

ALIAS: Rukan Abdal-Ghaffur Sulayman al-Majid;

Rukan Razuqi Abd al-Ghafur Al-Majid;

Rukan Abd al-Ghaffur al-Majid Al-Tikriti Abu Walid;

DATE OF BIRTH/PLACE OF BIRTH: 1956, Tikrit

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Head of Tribal Affairs in Presidential Office

22. NAME: **Jamal Mustafa Abdallah Sultan Al-Tikriti**

DATE OF BIRTH/PLACE OF BIRTH: 4 May 1955, al-Samnah, near Tikrit

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Deputy Head of Tribal Affairs in Presidential Office

23. NAME: **Mizban Khadr Hadi**

DATE OF BIRTH/PLACE OF BIRTH: 1938, Mandali District, Diyala

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Member, Ba'th Party Regional Command and Revolutionary Command Council since 1991

24. NAME: **Taha Muhyi-al-Din Ma'ruf**

DATE OF BIRTH/PLACE OF BIRTH: 1924, Sulaymaniyah

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Vice President, Revolutionary Command Council

25. NAME: **Tariq Aziz**

ALIAS: Tariq Mikhail Aziz

DATE OF BIRTH/PLACE OF BIRTH: 1 July 1936, Mosul or Baghdad

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Deputy Prime Minister;

PASSPORT: (July 1997): No 34409/129

26. NAME: **Walid Hamid Tawfiq Al-Tikriti**

ALIAS: Walid Hamid Tawfiq al-Nasiri

DATE OF BIRTH/PLACE OF BIRTH: 1954, Tikrit

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Governor of Basrah

27. NAME: **Sultan Hashim Ahmad Al-Ta'i**

DATE OF BIRTH/PLACE OF BIRTH: 1944, Mosul

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Minister of Defence

28. NAME: **Hikmat Mizban Ibrahim al-Azzawi**

DATE OF BIRTH/PLACE OF BIRTH: 1934, Diyala

▼B

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Deputy Prime Minister and Finance Minister

29. NAME: **Mahmud Dhiyab Al-Ahmed**

DATE OF BIRTH/PLACE OF BIRTH: 1953, Baghdad or Mosul

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Minister of Interior

30. NAME: **Ayad Futayyih Khalifa Al-Rawi**

DATE OF BIRTH/PLACE OF BIRTH: Circa 1942, Rawah

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Chief of Staff, Quds Force, 2001 to 2003;

Former Governor of Baghdad and Ta'mim

31. NAME: **Zuhair Talib Abd-al-Sattar Al-Naqib**

DATE OF BIRTH/PLACE OF BIRTH: Circa 1948

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Director, Military Intelligence

32. NAME: **Amir Hamudi Hassan Al-Sa'di**

DATE OF BIRTH/PLACE OF BIRTH: 5 April 1938, Baghdad

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Presidential Scientific Advisor;

Senior Deputy, Organisation of Military Industrialisation, 1988 to 1991;

Former President, Technical Corps for Special Projects;

PASSPORTS:?No 33301/862

Issued: 17 October 1997

Expires: 1 October 2005

?M0003264580

Issued: Unknown

Expires: Unknown

?H0100009

Issued: May 2001

Expires: Unknown

33. NAME: **Amir Rashid Muhammad Al-Ubaidi**

DATE OF BIRTH/PLACE OF BIRTH: 1939, Baghdad

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Minister of Oil, 1996 to 2003;

Head, Organisation of Military Industrialisation, early 1990s.

▼B

34. NAME: **Husam Muhammad Amin Al-Yassin**

DATE OF BIRTH/PLACE OF BIRTH: 1953 or 1958, Tikrit

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Head, National Monitoring Directorate

35. NAME: **Muhammad Mahdi Al-Salih**

DATE OF BIRTH/PLACE OF BIRTH: 1947 or 1949, al-Anbar Governate

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Minister of Trade, 1987 to 2003;

Chief, Presidential Office, mid-1980s

36. NAME: **Sab'awi Ibrahim Hassan Al-Tikriti**

DATE OF BIRTH/PLACE OF BIRTH: 1947, Tikrit

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Presidential Advisor;

Director of General Security, early 1990s;

Chief, Iraqi Intelligence Services, 1990 to 1991;

Half-brother of Saddam Hussein

37. NAME: **Watban Ibrahim Hassan Al-Tikriti**

ALIAS: Watab Ibrahim al-Hassan

DATE OF BIRTH/PLACE OF BIRTH: 1952, Tikrit

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Presidential Advisor;

Minister of Interior, Early 1990s;

Half-brother of Saddam Hussein

38. NAME: **Barzan Ibrahim Hassan Al-Tikriti**

DATE OF BIRTH/PLACE OF BIRTH: 1951, Tikrit

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Presidential Advisor;

Permanent Representative to UN (Geneva), 1989 to 1998;

Head, Iraqi Intelligence Services, early 1980s;

Half-brother of Saddam Hussein

39. NAME: **Huda Salih Mahdi Ammash**

DATE OF BIRTH/PLACE OF BIRTH: 1953, Baghdad

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Member, Ba'th Party Regional Command;

Head, Biological Laboratories, Military Industrial Organisation, mid-1990s;

Former Head, Student and Youth Bureau, Ba'th Party;

Former Head, Professional Bureau of Women's Affairs;

▼B

40. NAME: **Abd-al-Baqi Abd-al-Karim Abdallah Al-Sa'dun**

DATE OF BIRTH/PLACE OF BIRTH: 1947

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, Diyala

Deputy Commander, Southern Region, 1998 to 2000;

Former National Assembly Speaker

41. NAME: **Muhammad Zimam Abd-al-Razzaq Al-Sa'dun**

DATE OF BIRTH/PLACE OF BIRTH: 1942, Suq Ash-Shuyukh District, Dhi-Qar

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, At-Tamin;

Minister of Interior, 1995 to 2001

42. NAME: **Samir Abd al-Aziz Al-Najim**

DATE OF BIRTH/PLACE OF BIRTH: 1937 or 1938, Baghdad

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, East Baghdad;

43. NAME: **Humam Abd-al-Khaliq Abd-al-Ghafur**

ALIAS: Humam 'Abd al-Khaliq 'Abd al-Rahman;

Humam 'Abd-al-Khaliq Rashid

DATE OF BIRTH/PLACE OF BIRTH: 1945, Ar-Ramadi

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Minister of Higher Education and Research, 1992 to 1997, 2001 to 2003;

Minister of Culture, 1997 to 2001;

Director and Deputy Director, Iraqi Atomic Energy Organisation, 1980s;

PASSPORT: 0018061/104, issued 12 September 1993

44. NAME: **Yahia Abdallah Al-Ubaidi**

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, al-Basrah

45. NAME: **Nayif Shindakh Thamir Ghalib**

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, An-Najaf;

Member, Iraqi National Assembly;

NOTE: Died in 2003

46. NAME: **Saif-al-Din Al-Mashhadani**

DATE OF BIRTH/PLACE OF BIRTH: 1956, Baghdad

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, Al-Muthanna

▼B

47. NAME: **Fadil Mahmud Gharib**

ALIAS: Gharib Muhammad Fazel al-Mashaikhi

DATE OF BIRTH/PLACE OF BIRTH: 1944, Dujail

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, Babil;

Chairman, General Federation of Iraqi Trade Unions

48. NAME: **Muhsin Khadr Al-Khafaji**

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, al-Qadisyah

49. NAME: **Rashid Taan Kathim**

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, al-Anbar

50. NAME: **Ugla Abid Sakr Al-Zubaisi**

ALIAS: Saqr al-Kabisi Abd Aqala

DATE OF BIRTH/PLACE OF BIRTH: 1944, Kubaisi, al-Anbar

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, Maysan

51. NAME: **Ghazi Hammud Al-Ubaidi**

DATE OF BIRTH/PLACE OF BIRTH: 1944, Baghdad

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, Wasit

52. NAME: **Adil Abdallah Mahdi**

DATE OF BIRTH/PLACE OF BIRTH: 1945, al-Dur

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, Dhi-Qar;

Former Ba'th Party Chairman for Diyala and al-Anbar

53. NAME: **Qaid Hussein Al-Awadi**

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, Ninawa;

Former Governor of An-Najaf, circa 1998 to 2002

54. NAME: **Khamis Sirhan Al-Muhammad**

ALIAS: Dr. Fnu Mnu Khamis

NATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, Karbala

▼B

55. NAME: **Sa'd Abd-al-Majid Al-Faisal Al-Tikriti**

ATE OF BIRTH/PLACE OF BIRTH: 1944, Tikrit

ATIONALITY: Iraq

UNSC RESOLUTION 1483 BASIS:

Ba'th Party Regional Command Chairman, Salah Ad-Din;

Former Undersecretary for Security Affairs, Foreign Ministry

▼B

ANNEX V

▼M1

List of competent authorities referred to in Articles 6, 7 and 8

▼B

BELGIUM

Service Public Fédéral Economie, PME, Classes Moyennes et Energie
Administration des relations économiques
Politique d'accès aux marchés
Service: Licences
60, Rue Général Leman
B-1040 Bruxelles
Tél. (32-2) 206 58 11
Fax (32-2) 230 83 22

Federale Overheidsdienst Economie, KMO, Middenstand en Energie
Bestuur economische betrekkingen
Marktordening
Dienst: vergunningen
60, Generaal Lemanstraat
B-1040 Brussel
Tel. (32-2) 206 58 11
Fax (32-2) 230 83 22

Service Public Fédéral Finances
Administration de la Trésorerie
Avenue des Arts, 30
B-1040 Bruxelles
Fax (32-2) 233 75 18
E-mail: quesfinvragen.tf@minfin.fed.be
mailto: quesfinvragen.tf@minfin.fed.be

Federale Overheidsdienst Financiën
Administratie van de Thesaurie
Kunstlaan, 30
B-1040 Brussel
Fax (32-2) 233 75 18
E-mail: quesfinvragen.tf@minfin.fed.be
mailto: quesfinvragen.tf@minfin.fed.be

DENMARK

Erhvervs- og Boligstyrelsen
Dahlerups Pakhus
Langelinie Allé 17
DK-2100 København Ø
Tel. (45) 35 46 60 00
Fax (45) 35 46 60 01

GERMANY

For funds and financial assets:

Deutsche Bundesbank
Postfach 100 602
D-60006 Frankfurt am Main
Tel. (49-69) 95661
Fax (49-69) 5601071

For Iraqi cultural property:

Zollkriminalamt
Bergisch Gladbacher Str. 837
D-51069 Köln
Tel. (49-221) 6720
Fax (49-221) 6724500
E-mail: poststelle@zka.bgmv.de
Internet: www.zollkriminalamt.de

GREECE

Υπουργείο Εθνικής Οικονομίας και Οικονομικών
Γενική Γραμματεία Διεθνών Σχέσεων
Γενική Διεύθυνση Πολιτικού Προγραμματισμού και Εφαρμογής
Διεύθυνση Διεθνών Οικονομικών Θεμάτων
Τηλ.: 30210 32 86 021, 32 86 051

▼B

Φαξ: 30210 32 86 094, 32 86 059
E-mail: e3c@dos.gr

Ministry of Economy and Economics General Secretariat of International Relations
General Directorate for Policy Planning and Implementation
Directory for International Economy Issues
Tel. 30210 32 86 021, 32 86 051
Fax 30210 32 86 094, 32 86 059
E-mail: e3c@dos.gr

SPAIN

Ministerio de Economía
Secretaría General de Comercio Exterior
Pº de la Castellana 162
E-28046 MADRID
Tel. (34-91) 349 38 60
Fax (34-91) 457 28 63

FRANCE

Ministère de l'économie, des finances et de l'industrie
Direction du Trésor
Service des affaires européennes et internationales
Sous direction E
139, rue de Bercy
F-75 572 Paris Cedex 12
Tél. (33-1) 44 87 72 85
Fax (33-1) 53 18 96 37

Ministère des Affaires étrangères
Direction des Nations unies et des Organisations internationales
Sous-direction des affaires politiques
37, quai d'Orsay
75700 Paris 07SP
Tél. (33-1) 43174678/5968/5032
Fax (33-1) 43174691

IRELAND

▼M3

Licensing Unit, Department of Enterprise, Trade and Employment
Block C
Earlsfort Centre
Hatch Street
Dublin 2
Ireland
Tel. (353-1) 631 25 34
Fax (353-1) 631 25 62

Central Bank and Financial Services Authority of Ireland
Financial Markets Department
PO Box 559
Dame Street
Dublin 2
Ireland
Tel. (353-1) 434 40 00
Fax (353-1) 671 65 61

▼B

ITALY

▼M3

Ministero delle Attività produttive
DG per la Politica commerciale e per la gestione del regime degli scambi
Divisione IV — UOPAT
Viale Boston, 35
I-00144 Roma

Manager:
Tel. (39) 06 59 93 24 39
Fax (39) 06 59 64 75 06

Staff:
Tel. (39) 06 59 93 24 18
Fax (39) 06 591 69 58

Ministero dell'Economia e delle finanze
Dipartimento del Tesoro

▼M3

Comitato di sicurezza finanziaria
Via XX Settembre, 97
I-00187 Roma
Tel. (39) 06 47 61 39 42
Fax (39) 06 47 61 30 31

▼B

LUXEMBOURG

Ministère des affaires étrangères, du commerce extérieur, de la coopération, de l'action humanitaire et de la défense
Direction des relations économiques internationales
BP 1602
L-1016 Luxembourg
Tél. (352) 478-1 ou 478-2350
Fax (352) 22 20 48

Office des licences
BP 113
L-2011 Luxembourg
Tél. (352) 478 23 70
Fax (352) 46 61 38

Ministère des finances
3, rue de la Congrégation
L-1352 Luxembourg
Tel. (352) 478-2712
Fax (352) 47 52 41

NETHERLANDS

General coordination of Iraq sanctions

Ministerie van Buitenlandse Zaken
Departement Politieke Zaken
Postbus 20061
2500 EB Den Haag
Nederland
Fax (31-70) 348 4638
Tel. (31-70) 348 6211
e-mail: DPZ@minbuza.nl

Specifically for financial sanctions

Ministerie van Financiën
Directie Financiële Martken/Afdeling Integriteit
Postbus 20201
2500 EE Den Haag
Fax (31-70) 342 7918
Tel. (31-70) 342 8148

For Iraqi cultural property

Inspectie Cultuurbezit
Prins Willem-Alexander Hof 28
2595 BE Den Haag
Tel. (31-70) 302 8120
Fax (31-70) 365 1914

AUSTRIA

Bundesministerium für Wirtschaft und Arbeit
Abteilung C2/2
Außenwirtschaftsadministration
Stubenring 1
A-1010 Wien
Tel. (43-1) 71100/8345
Fax (43-1) 71100/8386

Österreichische Nationalbank
Otto-Wagner-Platz 3
A-1090 Wien
Tel. (43-1) 404 20 0
Fax (43-1) 404 20 7399

▼B

PORTUGAL

▼M3

Ministério dos Negócios Estrangeiros
Direcção-Geral dos Assuntos Multilaterais
Direcção de Serviços das Organizações Políticas Multilaterais
Largo do Rilvas,
P-1399-030 Lisboa
E-mail: spm@sg.mne.gov.pt
Tel. (351-21) 394 67 02
Fax (351-21) 394 60 73

Direcção-Geral dos Assuntos Europeus e Relações Internacionais
Ministério das Finanças
Av. Infante D. Henrique, n.º 1C — 1.º
P-1100-278 Lisboa
Tel. (351-21) 882 33 90
Fax (351-21) 882 33 99
E-mail: mf.dgaeri@dgaeri.pt

▼B

FINLAND

Ulkoasiainministeriö/Utrikesministeriet
PL/PB 176
FIN-00161 Helsinki/Helsingfors
Tel. (358-9) 16 05 59 00
Fax (358-9) 16 05 57 07

SWEDEN

▼M3

Article 6

Utrikesdepartementet
Rättssekretariatet för EU-frågor
S-103 39 Stockholm
Tel. (46-8) 405 10 00
Fax (46-8) 823 11 76

Article 7

Rikspolisstyrelsen (RPS)
Box 12256
S-102 26 Stockholm
Tel. (46-8) 401 90 00
Fax (46-8) 401 99 00

Article 8

Finansinspektionen
Box 6750
S-113 85 Stockholm
Tel. (46-8) 787 80 00
Fax (46-8) 24 13 35

▼B

UNITED KINGDOM

H M Treasury
International Financial Services Team
1 Horseguards Road
London SW1A 2HQ
United Kingdom
Tel. (44-207) 270 5550,
Fax (44-207) 270 5430

Bank of England
Financial Sanctions Unit
Threadneedle Street
London EC2R 8AH
United Kingdom
Tel. (44-207) 601 4768
Fax (44-207) 601 4309

▼B

EUROPEAN COMMUNITY

Commission of the European Communities
Directorate-General for External Relations
Directorate CFSP
Unit A.2: Legal and institutional matters for external relations — Sanctions
CHAR 12/163
B-1049 Bruxelles/Brussel
Tel. (32-2) 295 81 48, 296 25 56
Fax (32-2) 296 75 63
E-mail: relex-sanctions@cec.eu.int