This document is meant purely as a documentation tool and the institutions do not assume any liability for its contents

►**B**

COUNCIL REGULATION (EU) No 442/2011

of 9 May 2011

concerning restrictive measures in view of the situation in Syria

(OJ L 121, 10.5.2011, p. 1)

Amended by:

		Official Journal		
		No	page	date
►**M1**	Council Implementing Regulation (EU) No 504/2011 of 23 May 2011	L 136	45	24.5.2011
►**M2**	Council Implementing Regulation (EU) No 611/2011 of 23 June 2011	L 164	1	24.6.2011
►**M3**	Council Implementing Regulation (EU) No 755/2011 of 1 August 2011	L 199	33	2.8.2011
►**M4**	Council Implementing Regulation (EU) No 843/2011 of 23 August 2011	L 218	1	24.8.2011
►**M5**	Council Regulation (EU) No 878/2011 of 2 September 2011	L 228	1	3.9.2011
►**M6**	Council Regulation (EU) No 950/2011 of 23 September 2011	L 247	3	24.9.2011

Corrected by:

►**C1**	Corrigendum, OJ L 164, 24.6.2011, p. 17 (504/2011)

▼B

COUNCIL REGULATION (EU) No 442/2011

of 9 May 2011

concerning restrictive measures in view of the situation in Syria

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 215 thereof,

Having regard to Council Decision 2011/273/CFSP of 9 May 2011 concerning restrictive measures against Syria ([1]), adopted in accordance with Chapter 2 of Title V of the Treaty on European Union,

Having regard to the joint proposal from the High Representative of the Union for Foreign Affairs and Security Policy and the European Commission,

Whereas:

(1) Decision 2011/273/CFSP provides for an arms embargo, a ban on internal repression equipment, and restrictions on the admission to the Union, and the freezing of funds and economic resources, of certain persons and entities responsible for the violent repression against the civilian population in Syria. Those persons, entities and bodies are listed in the Annex to that Decision.

(2) Some of those measures fall within the scope of the Treaty on the Functioning of the European Union and regulatory action at the level of the Union is therefore necessary in order to implement them, in particular with a view to ensuring their uniform application by economic operators in all Member States.

(3) This Regulation respects the fundamental rights and observes the principles recognised in particular by the Charter of Fundamental Rights of the European Union and, in particular, the right to an effective remedy and to a fair trial and the right to the protection of personal data. This Regulation should be applied in accordance with those rights.

(4) The power to amend the list in Annex II to this Regulation should be exercised by the Council, in view of the serious political situation in Syria, and to ensure consistency with the process for amending and reviewing the Annex to Decision 2011/273/CFSP.

(5) The procedure for amending the lists in Annex II to this Regulation should include providing designated natural or legal persons, entities or bodies with the grounds for listing, so as to give them an opportunity to submit observations. Where observations are submitted, or substantial new evidence is presented, the Council should review its decision in light of those observations and inform the person, entity or body concerned accordingly.

([1]) OJ L 121, 10.5.2011, p. 11.

▼B

(6) For the implementation of this Regulation, and in order to create maximum legal certainty within the Union, the names and other relevant data concerning natural and legal persons, entities and bodies whose funds and economic resources must be frozen in accordance with this Regulation, must be made public.Any processing of personal data should comply with Regulation (EC) No 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data ([1]) and Directive 95/46/EC of the European Parliament and of the Council of 24 October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data ([2]).

(7) In order to ensure that the measures provided for in this Regulation are effective, this Regulation should enter into force on the day of its publication,

HAS ADOPTED THIS REGULATION:

Article 1

For the purposes of this Regulation, the following definitions shall apply:

(a) ‘funds’ means financial assets and benefits of every kind, including but not limited to:

(i) cash, cheques, claims on money, drafts, money orders and other payment instruments;

(ii) deposits with financial institutions or other entities, balances on accounts, debts and debt obligations;

(iii) publicly- and privately-traded securities and debt instruments, including stocks and shares, certificates representing securities, bonds, notes, warrants, debentures and derivatives contracts;

(iv) interest, dividends or other income on or value accruing from or generated by assets;

(v) credit, right of set-off, guarantees, performance bonds or other financial commitments;

(vi) letters of credit, bills of lading, bills of sale;

(vii) documents evidencing an interest in funds or financial resources;

([1]) OJ L 8, 12.1.2001, p. 1.
([2]) OJ L 281, 23.11.1995, p. 31.

▼B

(b) ‘freezing of funds’ means preventing any move, transfer, alteration, use of, access to, or dealing with funds in any way that would result in any change in their volume, amount, location, ownership, possession, character, destination or other change that would enable the funds to be used, including portfolio management;

(c) ‘economic resources’ means assets of every kind, whether tangible or intangible, movable or immovable, which are not funds but may be used to obtain funds, goods or services;

(d) ‘freezing of economic resources’ means preventing their use to obtain funds, goods or services in any way, including, but not limited to, by selling, hiring or mortgaging them;

(e) ‘technical assistance’ means any technical support related to repairs, development, manufacture, assembly, testing, maintenance, or any other technical service, and may take forms such as instruction, advice, training, the transmission of working knowledge or skills or consulting services; including verbal forms of assistance;

(f) ‘territory of the Union’ means the territories of the Member States to which the Treaty is applicable, under the conditions laid down in the Treaty, including their airspace;

▼M5

(g) ‘insurance’ means an undertaking or commitment whereby one or more natural or legal persons are obliged, in return for payment, to provide one or more other persons, in the event of materialisation of a risk, with an indemnity or a benefit as determined by the undertaking or commitment;

(h) ‘reinsurance’ means the activity consisting in accepting risks ceded by an insurance undertaking or by another reinsurance undertaking or, in the case of the association of underwriters known as Lloyd’s, the activity consisting in accepting risks, ceded by any member of Lloyd’s, by an insurance or reinsurance undertaking other than the association of underwriters known as Lloyd’s;

(i) ‘petroleum products’ means the products listed in Annex IV;

▼M6

(j) ‘Syrian person, entity or body’ means:

(i) the State of Syria or any public authority thereof;

(ii) any natural person in, or resident in, Syria;

(iii) any legal person, entity or body having its registered office in Syria;

(iv) any legal person, entity or body, inside or outside Syria, owned or controlled directly or indirectly by one or more of the above mentioned persons or bodies.

▼B

Article 2

1. It shall be prohibited:

(a) to sell, supply, transfer or export, directly or indirectly, equipment which might be used for internal repression as listed in Annex I, whether or not originating in the Union, to any person, entity or body in Syria or for use in Syria;

(b) to participate, knowingly and intentionally, in activities the object or effect of which is to circumvent the prohibitions referred to in point (a).

2. Paragraph 1 shall not apply to protective clothing, including flak jackets and helmets, temporarily exported to Syria by United Nations (UN) personnel, personnel of the Union or its Member States, representatives of the media or humanitarian and development workers and associated persons exclusively for their personal use.

3. By way of derogation from paragraph 1, the competent authorities in the Member States as listed in Annex III may authorise the sale, supply, transfer or export of equipment which might be used for internal repression, under such conditions as they deem appropriate, if they determine that such equipment is intended solely for humanitarian or protective use.

▼M6

Article 2a

It shall be prohibited to sell, supply, transfer or export, directly or indirectly, new Syrian denominated banknotes and coinage, printed or minted in the European Union, to the Central Bank of Syria.

▼B

Article 3

1. It shall be prohibited:

(a) to provide, directly or indirectly, technical assistance related to the goods and technology listed in the Common Military List of the European Union [1] (Common Military List), or related to the provision, manufacture, maintenance and use of goods included in that list, to any person, entity or body in Syria or for use in Syria;

(b) to provide, directly or indirectly, technical assistance or brokering services related to equipment which might be used for internal repression as listed in Annex I, to any person, entity or body in Syria or for use in Syria;

(c) to provide, directly or indirectly, financing or financial assistance related to the goods and technology listed in the Common Military List or in Annex I, including in particular grants, loans and export credit insurance, for any sale, supply, transfer or export of such items, or for any provision of related technical assistance to any person, entity or body in Syria or for use in Syria;

[1] OJ C 86, 18.3.2011, p. 1.

▼B

(d) to participate, knowingly and intentionally, in activities the object or effect of which is to circumvent the prohibitions referred to in points (a) to (c).

2. By way of derogation from paragraph 1, the prohibitions refered to therein shall not apply to the provision of technical assistance, financing and financial assistance related to:

— technical assistance intended solely for the support of the United Nations Disengagement Observer Force (UNDOF);

— non-lethal military equipment, or equipment which might be used for internal repression, intended solely for humanitarian purposes or protective use or for institution building programmes of the UN and the Union, or for Union or UN crisis management operations; or

— non-combat vehicles fitted with materials to provide ballistic protection, intended solely for the protective use of personnel of the Union and its Member States in Syria;

provided that such provision shall first have been approved by the competent authority of a Member State, as identified on the websites listed in Annex III.

▼M5

Article 3a

It shall be prohibited:

(a) to import crude oil or petroleum products into the Union if they:

(i) originate in Syria; or

(ii) have been exported from Syria;

(b) to purchase crude oil or petroleum products which are located in or which originated in Syria;

(c) to transport crude oil or petroleum products if they originate in Syria, or are being exported from Syria to any other country;

(d) to provide, directly or indirectly, financing or financial assistance, including financial derivatives, as well as insurance and re-insurance, related to the prohibitions set out in points (a), (b) and (c); and

(e) to participate, knowingly and intentionally, in activities whose object or effect is, directly or indirectly, to circumvent the prohibitions in point (a), (b), (c) or (d).

Article 3b

The prohibitions in Article 3a shall not apply to:

(a) the execution, on or prior to 15 November 2011, of an obligation arising from a contract concluded before 2 September 2011, provided that the natural or legal person, entity or body seeking to perform the obligation concerned has notified, at least 7 working days in advance, the activity or transaction to the competent authority of the Member State in which it is established, as identified on the websites listed in Annex III; or

▼M5

(b) the purchase of crude oil or petroleum products which had been exported from Syria prior to 2 September 2011, or, where the export was made pursuant to point (a), on or prior to 15 November 2011.

▼M6

Article 3c

1. The following shall be prohibited:

(a) the granting of any financial loan or credit to any Syrian person, entity or body referred to in paragraph 2;

(b) the acquisition or extension of a participation in any Syrian person, entity or body referred to in paragraph 2;

(c) the creation of any joint venture with any Syrian person, entity or body referred to in paragraph 2;

(d) the participation, knowingly and intentionally, in activities the object or effect of which is to circumvent the prohibitions referred to in points (a), (b) or (c).

2. The prohibitions in paragraph 1 shall apply to any Syrian person, entity or body engaged in the exploration, production or refining of crude oil.

3. For the purposes of paragraph 2 only, the following definitions shall apply:

(a) 'exploration of crude oil' includes the exploration for, prospecting for and management of crude oil reserves, as well as the provision of geological services in relation to such reserves;

(b) 'refining of crude oil' means the processing, conditioning or preparation of oil for the ultimately final sale of fuels.

4. The prohibitions in paragraph 1:

(a) shall be without prejudice to the execution of an obligation arising from contracts or agreements concluded before 23 September 2011;

(b) shall not prevent the extension of a participation, if such extension is an obligation under an agreement concluded before 23 September 2011.

▼B

Article 4

1. All funds and economic resources belonging to, owned, held or controlled by the natural or legal persons, entities and bodies listed in Annex II shall be frozen.

2. No funds or economic resources shall be made available, directly or indirectly, to or for the benefit of the natural or legal persons, entities or bodies listed in Annex II.

3. The participation, knowingly and intentionally, in activities the object or effect of which is, directly or indirectly, to circumvent the measures referred to in paragraphs 1 and 2 shall be prohibited.

▼B

Article 5

▼M5

1. Annex II shall consist of a list of natural or legal persons, entities and bodies which, in accordance with Article 4(1) of Decision 2011/273/CFSP, have been identified by the Council as being persons responsible for the violent repression against the civilian population in Syria, persons and entities benefiting from or supporting the regime, or persons and entities associated with them.

▼B

2. Annex II shall include the grounds for the listing of listed persons, entities and bodies concerned.

3. Annex II shall also include, where available, information necessary to identify the natural or legal persons, entities and bodies concerned. With regard to natural persons, such information may include names including aliases, date and place of birth, nationality, passport and ID card numbers, gender, address, if known, and function or profession. With regard to legal persons, entities and bodies, such information may include names, place and date of registration, registration number and place of business.

Article 6

By way of derogation from Article 4, the competent authorities in the Member States, as identified on the websites listed in Annex III, may authorise the release of certain frozen funds or economic resources, or the making available of certain funds or economic resources, under such conditions as they deem appropriate, after having determined that the funds or economic resources are:

(a) necessary to satisfy the basic needs of persons listed in Annex II and their dependent family members, including payments for foodstuffs, rent or mortgage, medicines and medical treatment, taxes, insurance premiums, and public utility charges;

(b) intended exclusively for the payment of reasonable professional fees or the reimbursement of incurred expenses associated with the provision of legal services;

▼M5

(c) intended exclusively for the payment of fees or service charges for routine holding or maintenance of frozen funds or economic resources;

(d) necessary for extraordinary expenses, provided that the relevant competent authority has notified to the competent authorities of the other Member States and to the Commission at least 2 weeks before the authorisation the grounds on which it considers that a specific authorisation should be granted;

▼M5

(e) to be paid into or from an account of a diplomatic or consular mission or an international organisation enjoying immunities in accordance with international law, insofar as such payments are intended to be used for official purposes of the diplomatic or consular mission or international organisation; or

(f) necessary for humanitarian purposes, such as the delivery and facilitation of delivery of humanitarian aid, the delivery of materials and supplies necessary for essential civilian needs, including food and agricultural materials for its production, medical products, or for evacuations from Syria.

The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under this Article, within 4 weeks following the authorisation.

▼B

Article 7

By way of derogation from Article 4, the competent authorities in the Member States, as listed in Annex III, may authorise the release of certain frozen funds or economic resources, if the following conditions are met:

(a) the funds or economic resources in question are the subject of a judicial, administrative or arbitral lien established prior to the date on which the person, entity or body referred to in Article 4 was included in Annex II, or of a judicial, administrative or arbitral judgment rendered prior to that date;

(b) the funds or economic resources in question will be used exclusively to satisfy claims secured by such a lien or recognised as valid in such a judgment, within the limits set by applicable laws and regulations governing the rights of persons having such claims;

(c) the lien or judgment is not for the benefit of a person, entity or body listed in Annex II; and

(d) recognising the lien or judgment is not contrary to public policy in the Member State concerned.

The relevant Member State shall inform the other Member States and the Commission of any authorisation granted under this Article.

Article 8

1. Article 4(2) shall not apply to the addition to frozen accounts of:

(a) interest or other earnings on those accounts; or

▼B

(b) payments due under contracts, agreements or obligations that were concluded or arose before the date on which the account became subject to this Regulation,

provided that any such interest, other earnings and payments are frozen in accordance with Article 4(1).

2. Article 4(2) shall not prevent financial or credit institutions in the Union from crediting frozen accounts where they receive funds transferred to the account of a listed natural or legal person, entity or body, provided that any additions to such accounts will also be frozen. The financial or credit institution shall inform the relevant competent authority about any such transaction without delay.

Article 9

By way of derogation from Article 4, and provided that a payment by a person, entity or body listed in Annex II is due under a contract or agreement that was concluded by, or an obligation that arose for the person, entity or body concerned before the date on which that person, entity or body had been designated, the competent authorities of the Member States, as indicated on the websites listed in Annex III, may authorise, under such conditions as they deem appropriate, the release of certain frozen funds or economic resources, provided that the payment is not directly or indirectly received by a person or entity referred to in Article 4.

Article 10

1. The freezing of funds and economic resources or the refusal to make funds or economic resources available, carried out in good faith on the basis that such action is in accordance with this Regulation, shall not give rise to liability of any kind on the part of the natural or legal person or entity or body implementing it, or its directors or employees, unless it is proved that the funds and economic resources were frozen or withheld as a result of negligence.

2. The prohibition set out in Article 4(2) shall not give rise to any liability of any kind on the part of the natural and legal persons, entities and bodies who made funds or economic resources available if they did not know, and had no reasonable cause to suspect, that their actions would infringe the prohibition in question.

▼M6

Article 10a

No claims, including for compensation or indemnification or any other claim of this kind, such as a claim of set-off, fines or claims under a guarantee, claims for extension or payment of a bond, financial guarantee, including claims arising from letters of credit and similar instruments in connection with any contract or transaction the performance of which was affected, directly or indirectly, in whole or in part, by the measures imposed by this Regulation, shall be granted to the Government of Syria, its public bodies, corporations and agencies, or to any person or entity claiming through it or for its benefit.

▼B

Article 11

1. Without prejudice to the applicable rules concerning reporting, confidentiality and professional secrecy, natural and legal persons, entities and bodies shall:

(a) supply immediately any information which would facilitate compliance with this Regulation, such as accounts and amounts frozen in accordance with Article 4, to the competent authority in the Member State where they are resident or located, as indicated on the websites listed in Annex III, and shall transmit such information, either directly or through the Member States, to the Commission; and

(b) cooperate with that competent authority in any verification of this information.

2. Any information provided or received in accordance with this Article shall be used only for the purposes for which it was provided or received.

Article 12

Member States and the Commission shall immediately inform each other of the measures taken under this Regulation and shall supply each other with any other relevant information at their disposal in connection with this Regulation, in particular information in respect of violation and enforcement problems and judgments handed down by national courts.

Article 13

The Commission shall be empowered to amend Annex III on the basis of information supplied by Member States.

Article 14

1. Where the Council decides to subject a natural or legal person, entity or body to the measures referred to in Article 4(1), it shall amend Annex II accordingly.

2. The Council shall communicate its decision, including the grounds for listing, to the natural or legal person, entity or body referred to in paragraph 1, either directly, if the address is known, or through the publication of a notice, providing such natural or legal person, entity or body with an opportunity to present observations.

3. Where observations are submitted, or where substantial new evidence is presented, the Council shall review its decision and inform the natural or legal person, entity or body accordingly.

4. The list in Annex II shall be reviewed at regular intervals and at least every 12 months.

Article 15

1. Member States shall lay down the rules on penalties applicable to infringements of the provisions of this Regulation and shall take all measures necessary to ensure that they are implemented. The penalties provided for must be effective, proportionate and dissuasive.

▼B

2. Member States shall notify the Commission of those rules without delay after the entry into force of this Regulation and shall notify it of any subsequent amendment.

Article 16

Where there is, in this Regulation, a requirement to notify, inform or otherwise communicate with the Commission, the address and other contact details to be used for such communication shall be those indicated in Annex III.

Article 17

This Regulation shall apply:

(a) within the territory of the Union, including its airspace;

(b) on board any aircraft or any vessel under the jurisdiction of a Member State;

(c) to any person inside or outside the territory of the Union who is a national of a Member State;

(d) to any legal person, entity or body which is incorporated or constituted under the law of a Member State;

(e) to any legal person, entity or body in respect of any business done in whole or in part within the Union.

Article 18

This Regulation shall enter into force on the day of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

▼B

ANNEX I

List of equipment which might be used for internal repression as referred to in Article 2 and Article 3

1. Firearms, ammunition and related accessories therefor, as follows:

1.1 Firearms not controlled by ML 1 and ML 2 of the Common Military List of the European Union ([1]) (Common Military List);

1.2 Ammunition specially designed for the firearms listed in item 1.1 and specially designed components therefor;

1.3 Weapon-sights not controlled by the Common Military List.

2. Bombs and grenades not controlled by the Common Military List.

3. Vehicles as follows:

3.1 Vehicles equipped with a water cannon, specially designed or modified for the purpose of riot control;

3.2 Vehicles specially designed or modified to be electrified to repel borders;

3.3 Vehicles specially designed or modified to remove barricades, including construction equipment with ballistic protection;

3.4 Vehicles specially designed for the transport or transfer of prisoners and/or detainees;

3.5 Vehicles specially designed to deploy mobile barriers;

3.6 Components for the vehicles specified in items 3.1 to 3.5 specially designed for the purposes of riot control.

Note 1: *This item does not control vehicles specially designed for the purposes of fire-fighting.*

Note 2: *For the purposes of item 3.5 the term 'vehicles' includes trailers.*

4. Explosive substances and related equipment as follows:

4.1 Equipment and devices specially designed to initiate explosions by electrical or non-electrical means, including firing sets, detonators, igniters, boosters and detonating cord, and specially designed components therefor; except those specially designed for a specific commercial use consisting of the actuation or operation by explosive means of other equipment or devices the function of which is not the creation of explosions (e.g., car air-bag inflaters, electric-surge arresters of fire sprinkler actuators);

4.2 Linear cutting explosive charges not controlled by the Common Military List;

4.3 Other explosives not controlled by the Common Military List and related substances as follows:

(a) amatol;

(b) nitrocellulose (containing more than 12,5 % nitrogen);

(c) nitroglycol;

(d) pentaerythritol tetranitrate (PETN);

(e) picryl chloride;

(f) 2,4,6-trinitrotoluene (TNT).

([1]) OJ C 86, 18.3.2011, p. 1.

▼B

5. Protective equipment not controlled by ML 13 of the Common Military List as follows:

5.1 Body armour providing ballistic and/or stabbing protection;

5.2 Helmets providing ballistic and/or fragmentation protection, anti-riot helmets, antiriot shields and ballistic shields.

Note: This item does not control:

— *equipment specially designed for sports activities;*

— *equipment specially designed for safety of work requirements.*

6. Simulators, other than those controlled by ML 14 of the Common Military List, for training in the use of firearms, and specially designed software therefor.

7. Night vision, thermal imaging equipment and image intensifier tubes, other than those controlled by the Common Military List.

8. Razor barbed wire.

9. Military knives, combat knives and bayonets with blade lengths in excess of 10 cm.

10. Production equipment specially designed for the items specified in this list.

11. Specific technology for the development, production or use of the items specified in this list.

▼M1

▼C1

ANNEX II

LIST OF NATURAL AND LEGAL PERSONS, ENTITIES OR BODIES REFERRED TO IN ARTICLE 4

►M2 A. ◄ **Persons**

	Name	Identifying information	Reasons	Date of listing
1.	Bashar Al-Assad	Born on 11 September 1965 in Damascus; diplomatic passport No D1903	President of the Republic; person authorising and supervising the crackdown on demonstrators.	23.05.2011
2.	Maher (a.k.a. Mahir) Al-Assad	Born on 8 December 1967; diplomatic passport No 4138	Commander of the Army's 4th Armoured Division, member of Baath Party Central Command, strongman of the Republican Guard; brother of President Bashar Al-Assad; principal overseer of violence against demonstrators.	9.05.2011
3.	Ali Mamluk (a.k.a. Mamlouk)	Born on 19 February 1946 in Damascus; diplomatic passport No 983	Head of Syrian General Intelligence Directorate (GID); involved in violence against demonstrators.	9.05.2011
4.	Muhammad Ibrahim Al-Sha'ar (a.k.a. Mohammad Ibrahim Al-Chaar)		Minister of Interior; involved in violence against demonstrators.	9.05.2011
5.	Atej (a.k.a Atef, Atif) Najib		Former Head of the Political Security Directorate in Deraa; cousin of President Bashar Al-Assad; involved in violence against demonstrators.	9.05.2011
6.	Hafiz Makhluf (a.k.a. Hafez Makhlouf)	Born on 2 April 1971 in Damascus; diplomatic passport No 2246	Colonel and Head of Unit in General Intelligence Directorate, Damascus Branch; cousin of President Bashar Al-Assad; close to Maher Al-Assad; involved in violence against demonstrators.	9.05.2011
7.	Muhammad Dib Zaytun (a.k.a. Mohammed Dib Zeitoun)	Born on 20 May 1951 in Damascus; diplomatic passport No D000001300	Head of Political Security Directorate; involved in violence against demonstrators.	9.05.2011
8.	Amjad Al-Abbas		Head of Political Security in Banyas, involved in violence against demonstrators in Baida.	9.05.2011
9.	Rami Makhlouf	Born on 10 July 1969 in Damascus, passport No 454224	Syrian businessman; associate of Maher Al-Assad; cousin of President Bashar Al-Assad; provides funding to the regime allowing violence against demonstrators.	9.05.2011
10.	Abd Al-Fatah Qudsiyah	Born in 1953 in Hama; diplomatic passport No D0005788	Head of Syrian Military Intelligence (SMI); involved in the crackdown on the civilian population.	9.05.2011

▼C1

	Name	Identifying information	Reasons	Date of listing
11.	Jamil Hassan		Head of Syrian Air Force Intelligence; involved in the crackdown on the civilian population.	9.05.2011
12.	Rustum Ghazali	Born on 3 May 1953 in Dara'a; diplomatic passport No D000000887	Head of Syrian Military Intelligence, Damascus Countryside Branch; involved in the crackdown on the civilian population.	9.05.2011
13.	Fawwaz Al-Assad	Born on 18 June 1962 in Kerdala; passport No 88238	Involved in the crackdown on the civilian population as part of the Shabiha militia.	9.05.2011
14.	Munzir Al-Assad	Born on 1 March 1961 in Lattakia; passport No 86449 and No 842781	Involved in the crackdown on the civilian population as part of the Shabiha militia.	9.05.2011
15.	Asif Shawkat	Born on 15 January 1950 in Al-Madehleh, Tartus	Deputy Chief of Staff for Security and Reconnaissance; involved in the crackdown on the civilian population.	23.5.2011
16.	Hisham Ikhtiyar	Born in 1941	Head of Syrian National Security Bureau; involved in the crackdown on the civilian population.	23.5.2011
17.	Faruq Al Shar'	Born on 10 December 1938	Vice-President of Syria; involved in the crackdown on the civilian population.	23.5.2011
18.	Muhammad Nasif Khayrbik	Born on 10 April 1937 (alt. 20 May 1937) in Hama, diplomatic passport No 0002250	Deputy Vice-President of Syria for National Security Affairs; involved in the crackdown on the civilian population.	23.5.2011
19.	Mohamed Hamcho	Born on 20 May 1966; passport No. 002954347	Brother-in-law of Maher Al-Assad; businessman and local agent for several foreign companies; provides funding to the regime allowing the crackdown on demonstrators.	23.5.2011
20.	Iyad (a.k.a. Eyad) Makhlouf	Born on 21 January 1973 in Damascus; passport No N001820740	Brother of Rami Makhlouf and GID Officer involved in the crackdown on the civilian population.	23.5.2011
21.	Bassam Al Hassan		Presidential Advisor for Strategic Affairs; involved in the crackdown on the civilian population.	23.5.2011
22.	Dawud Rajiha		Chief of Staff of the Armed Forces responsible for the military involvement in the crackdown on peaceful protesters.	23.5.2011
23.	Ihab (a.k.a. Ehab, Iehab) Makhlouf	Born on 21 January 1973 in Damascus; passport No N002848852	Vice-President of SyriaTel and caretaker for Rami Makhlouf's US company; provides funding to the regime allowing the crackdown on demonstrators.	23.5.2011

▼C1

	Name	Identifying information	Reasons	Date of listing
▼M2				
24.	Zoulhima CHALICHE (Dhu al-Himma SHALISH)	Born in 1951 or 1946 in Kerdaha.	Head of presidential security; involved in violence against demonstrators; first cousin of President Bashar Al-Assad.	23.6.2011
25.	Riyad CHALICHE (Riyad SHALISH)		Director of Military Housing Establishment; provides funding to the regime; first cousin of President Bashar Al-Assad.	23.6.2011
26.	Brigadier Commander Mohammad Ali JAFARI (a.k.a. JA'FARI, Aziz; a.k.a. JAFARI, Ali; a.k.a. JAFARI, Mohammad Ali; a.k.a. JA'FARI, Mohammad Ali; a.k.a. JAFARI-NAJAFABADI, Mohammad Ali)	DOB 1 Sep 1957; POB Yazd, Iran.	General Commander of Iranian Revolutionary Guard Corps, involved in providing equipment and support to help the Syria regime suppress protests in Syria.	23.6.2011
27.	Major General Qasem SOLEIMANI (a. k. a Qasim SOLEIMANY)		Commander of Iranian Revolutionary Guard Corps, IRGC - Qods, involved in providing equipment and support to help the Syria regime suppress protests in Syria.	23.6.2011
28.	Hossein TAEB (a.k.a. TAEB, Hassan; a.k.a. TAEB, Hosein; a.k.a. TAEB, Hossein; a.k.a. TAEB, Hussayn; a.k.a. Hojjatoleslam Hossein TA'EB)	DOB 1963; POB Tehran, Iran.	Deputy Commander for Intelligence of Iranian Revolutionary Guard Corps, involved in providing equipment and support to help the Syria regime suppress protests in Syria.	23.6.2011
29.	Khalid QADDUR		Business associate of Maher Al-Assad; provides funding to the regime.	23.6.2011
30.	Ra'if AL-QUWATLI (a.k.a. Ri'af AL-QUWATLI)		Business associate of Maher Al-Assad; provides funding to the regime.	23.6.2011
▼M3				
31.	Mr Mohammad Mufleh		Head of Syrian Military Intelligence in the town of Hama, involved in the crackdown on demonstrators.	1.8.2011
32.	Major General Tawfiq Younes		Head of the Department for Internal Security of the General Intelligence Directorate; involved in violence against the civilian population.	1.8.2011

▼M3

	Name	Identifying information	Reasons	Date of listing
33.	Mr Mohammed Makhlouf (a.k.a. Abu Rami)	Born in Latakia, Syria, 19.10.1932	Close associate and maternal uncle of Bashar and Mahir al-Assad. Business associate and father of Rami, Ihab and Iyad Makhlouf.	1.8.2011
34.	Ayman Jabir	Born Latakia	Associate of Mahir al-Assad for the Shabiha militia. Directly involved in repression and violence against the civilian population and co-ordination of Shabiha militia groups	1.8.2011
35.	General Ali Habib Mahmoud	Born Tartous, 1939. Appointed Minister of Defence 3 June 2009	Minister of Defence. Responsible for conduct and operations of Syrian Armed Forces involved in repression and violence against the civilian population	1.8.2011

▼M4

36.	Hayel AL-ASSAD		Assistant to Maher Al-Assad, Head of the military police unit of the army's 4th Division, involved in repression.	23.8.2011
37.	Ali AL-SALIM		Director of the supplies office of the Syrian Ministry of Defence, entry point for all arms acquisitions by the Syrian army.	23.8.2011
38.	Nizar AL-ASSAAD		Very close to key government officials. Financing Shabiha in the region of Latakia.	23.8.2011
39.	Brigadier-General Rafiq SHAHADAH		Head of Syrian Military Intelligence (SMI) Branch 293 (Internal Affairs) in Damascus. Directly involved in repression and violence against the civilian population in Damascus. Advisor to President Bashar Al-Assad for strategic questions and military intelligence.	23.8.2011
40.	Brigadier-General JAMEA JAMEA (Jami Jami)		Branch Chief for Syrian Military Intelligence (SMI) in Dayr az-Zor. Directly involved in repression and violence against the civilian population in Dayr az-Zor and Alboukamal.	23.8.2011
41.	Hassan Bin-Ali AL-TURKMANI	DOB 1935 in Aleppo	Deputy Vice Minister, former Minister for Defence, Special Envoy of President Bashar Al-Assad.	23.8.2011
42.	Muhammad Said BUKHAYTAN		Assistant Regional Secretary of Baath Arab Socialist Party since 2005, 2000-2005 Director for the national security of the regional Baath party. Former Governor of Hama (1998-2000). Close associate of President Bashar Al-Assad and Maher Al-Assad. Senior decision-maker in the regime on repression of civilian population.	23.8.2011

▼M4

	Name	Identifying information	Reasons	Date of listing
43.	Ali DOUBA		Responsible for killings in Hama in 1980, has been called back to Damascus as special advisor to President Bashar Al-Assad.	23.8.2011
44.	Brigadier-General Nawful AL-HUSAYN		Idlib Syrian Military Intelligence (SMI) Branch Chief. Directly involved in repression and violence against the civilian population in Idlib province.	23.8.2011
45.	Brigadier Husam SUKKAR		Presidential Adviser on Security Affairs. Presidential Adviser for security agencies' repression and violence against the civilian population.	23.8.2011
46.	Brigadier-General Muhammed ZAMRINI		Branch Chief for Syrian Military Intelligence (SMI) in Homs. Directly involved in repression and violence against the civilian population in Homs.	23.8.2011
47.	Lieutenant-General Munir ADANOV(ADNUF)-		Deputy Chief of General Staff, Operations and Training for Syrian Army. Directly involved in repression and violence against the civilian population in Syria.	23.8.2011
48.	Brigadier-General Ghassan KHALIL		Head of General Intelligence Directorate's (GID) Information Branch. Directly involved in repression and violence against the civilian population in Syria.	23.8.2011
49.	Mohammed JABIR	POB Latakia	Shabiha militia. Associate of Maher Al-Assad for the Shabiha militia. Directly involved in repression and violence against the civilian population and co-ordination of Shabiha militia groups.	23.8.2011
50.	Samir HASSAN		Close business associate of Maher Al-Assad. Known for supporting economically the Syrian regime.	23.8.2011

▼M5

51.	Fares CHEHABI (Fares SHIHABI)		President of Aleppo Chamber of Industry. Provides economic support for the Syrian regime.	2.09.2011
52.	Emad GHRAIWATI		President of the Damascus Chamber of Industry (Zuhair Ghraiwati Sons). Provides economic support for the Syrian regime.	2.09.2011

▼M5

	Name	Identifying information	Reasons	Date of listing
53.	Tarif AKHRAS		Founder of the Akhras Group (commodities, trading, processing and logistics), Homs. Provides economic support for the Syrian regime.	2.09.2011
54.	Issam ANBOUBA		President of Issam Anbouba Est. for agro-industry. Supports economically the Syrian regime.	2.09.2011

▼M6

55.	Tayseer Qala Awwad	DoB: 1943; PoB Damascus	Minister of Justice. Associated with the Syrian regime, including by supporting its policies and practices of arbitrary arrest and detention.	23.09.2011
56.	Dr. Adnan Hassan Mahmoud	DoB: 1966; PoB Tartous	Minister of Information. Associated with the Syrian regime, including by supporting and promoting its information policy.	23.09.2011

▼M2

B. **Entities**

	Name	Identifying information	Reasons	Date of listing
1.	Bena Properties		Controlled by Rami Makhlouf; provides funding to the regime.	23.6.2011
2.	Al Mashreq Investment Fund (AMIF) (alias Sunduq Al Mashrek Al Istithmari)	P.O. Box 108, Damascus Tel.: 963 112110059 / 963112110043 Fax: 963 933333149	Controlled by Rami Makhlouf; provides funding to the regime.	23.6.2011
3.	Hamcho International (a.k.a. Hamsho International Group)	Baghdad Street, P.O. Box 8254, Damascus Tel.: 963 112316675 Fax: 963 112318875 Website: www.hamshointl.com Email: info@hamshointl.com et hamshogroup@yahoo.com	Controlled by Mohammad Hamcho or Hamsho; provides funding to the regime.	23.6.2011
4.	Military Housing Establishment (alias MILIHOUSE)		Public works company controlled by Riyad Shalish and Ministry of Defence; provides funding to the regime.	23.6.2011

▼M4

5.	Political Security Directorate		Syrian government agency directly involved in repression.	23.8.2011
6.	General Intelligence Directorate		Syrian government agency directly involved in repression.	23.8.2011

▼M4

	Name	Identifying information	Reasons	Date of listing
7.	Military Intelligence Directorate		Syrian government agency directly involved in repression.	23.8.2011
8.	Air Force Intelligence Agency		Syrian government agency directly involved in repression.	23.8.2011
9.	IRGC Qods Force (a. k. a. Quds Force)	Teheran, Iran	The Qods (or Quds) Force is a specialist arm of the Iranian Islamic Revolutionary Guard Corps (IRGC). The Qods Force is involved in providing equipment and support to help the Syria regime suppress protests in Syria. IRGC Qods Force has provided technical assistance, equipment and support to the Syrian security services to repress civilian protest movements.	23.8.2011

▼M5

10.	Mada Transport	Subsidiary of Cham Holding (Sehanya Daraa Highway, PO Box 9525, tel: 00 963 11 99 62)	Economic entity financing the regime.	2.09.2011
11.	Cham Investment Group	Subsidiary of Cham Holding (Sehanya Daraa Highway, PO Box 9525, tel: 00 963 11 99 62)	Economic entity financing the regime.	2.09.2011
12.	Real Estate Bank	Insurance Bldg- Yousef Al-azmeh sqr. Damascus P.O. Box: 2337 Damascus Syrian Arab Republic Phone: (+963) 11 2456777 and 2218602 Fax: (+963) 11 2237938 and 2211186 Bank's e-mail: Publicrelations@reb.sy, Website: www.reb.sy	State-owned bank providing financial support for the regime.	2.09.2011

▼M6

13.	Addounia TV (a.k.a. Dounia TV)	Telephone:+963-11-5667274, +963-11-5667271, Fax:+963-11-5667272 Website: http://www.addounia.tv	Addounia TV has incited violence against the civilian population in Syria.	23.09.2011
14.	Cham Holding	Cham Holding Building Daraa Highway - Ashrafiyat Sahnaya Rif Dimashq – Syria P.O Box 9525 Tel +963 (11) 9962 +963 (11) 668 14000 +963 (11) 673 1044 Fax +963 (11) 673 1274 Email info@chamholding.sy www.chamholding.sy	Controlled by Rami Makhlouf; largest holding company in Syria, benefiting from and supporting the regime.	23.09.2011

▼**M6**

	Name	Identifying information	Reasons	Date of listing
15.	El-Tel Co. (a.k.a. El-Tel Middle East Company)	Address: Dair Ali Jordan Highway, P.O.Box 13052, Damascus – Syria Telephone: +963-11-2212345 Fax: +963-11-44694450 Email: sales@eltelme.com Website: www.eltelme.com	Manufacturing and supplying tele-communication equipment for the Army.	23.09.2011
16.	Ramak Constructions Co.	Address: Daa'ra Highway, Damascus, Syria Telephone: +963-11-6858111 Mobile: +963-933-240231	Construction of military barracks, border post barracks and other buildings for Army needs.	23.09.2011
17.	Souruh Company (a.k.a. SOROH Al Cham Company)	Address: Adra Free Zone Area Damascus – Syria Telephone:+963-11-5327266 Mobile:+963-933-526812 +963-932-878282 Fax:+963-11-5316396 Email: sorohco@gmail.com Website: http://sites.google.com/site/sorohco	Investment in local military industrial projects, manufacturing weapons parts and related items. 100 % of the company is owned by Rami Makhlouf.	23.09.2011
18.	Syriatel	Thawra Street, Ste Building 6ème étage, BP 2900 Tel: +963 11 61 26 270 Fax: +963 11 23 73 97 19 Email: info@syriatel.com.sy; Website: http://syriatel.sy/	Controlled by Rami Makhlouf; provides financial support to the regime: through its licensing contract it pays 50 % of its profits to the Government.	23.09.2011

▼B

ANNEX III

List of competent authorities in the Member States referred to in Articles 3(2), 6, 7, 8, 9, 11(1) and 13(4) and address for notifications to the European Commission

A. **Competent authorities in each Member State:**

BELGIUM

http://www.diplomatie.be/eusanctions

BULGARIA

http://www.mfa.bg/en/pages/view/5519

CZECH REPUBLIC

http://www.mfcr.cz/mezinarodnisankce

DENMARK

http://www.um.dk/da/menu/Udenrigspolitik/-FredSikkerhedOgInternationalRetsorden/Sanktioner/

GERMANY

http://www.bmwi.de/BMWi/Navigation/Aussenwirtschaft/Aussenwirtschaftsrecht/embargos.html

ESTONIA

http://www.vm.ee/est/kat_622/

IRELAND

http://www.dfa.ie/home/index.aspx?id=28519

GREECE

http://www.mfa.gr/www.mfa.gr/en-US/Policy/Multilateral+Diplomacy/Global+Issues/International+Sanctions/

SPAIN

http://www.maec.es/es/MenuPpal/Asuntos/Sanciones%20Internacionales/Paginas/Sanciones_%20Internacionales.aspx

FRANCE

http://www.diplomatie.gouv.fr/autorites-sanctions/

ITALY

http://www.esteri.it/MAE/IT/Politica_Europea/Deroghe.htm

CYPRUS

http://www.mfa.gov.cy/sanctions

LATVIA

http://www.mfa.gov.lv/en/security/4539

LITHUANIA

http://www.urm.lt

▼B

LUXEMBOURG

http://www.mae.lu/sanctions

HUNGARY

http://www.kulugyminiszterium.hu/kum/hu/bal/Kulpolitikank/nemzetkozi_szankciok/

MALTA

http://www.doi.gov.mt/EN/bodies/boards/sanctions_monitoring.asp

NETHERLANDS

http://www.minbuza.nl/sancties

AUSTRIA

http://www.bmeia.gv.at/view.php3?f_id=12750&LNG=en&version=

POLAND

http://www.msz.gov.pl

PORTUGAL

http://www.min-nestrangeiros.pt

ROMANIA

http://www.mae.ro/node/1548

SLOVENIA

http://www.mzz.gov.si/si/zunanja_politika/mednarodna_varnost/omejevalni_ukrepi/

SLOVAKIA

http://www.foreign.gov.sk

FINLAND

http://formin.finland.fi/kvyhteistyo/pakotteet

SWEDEN

http://www.ud.se/sanktioner

UNITED KINGDOM

www.fco.gov.uk/competentauthorities

B. **Address for notifications to or other communication with the European Commission:**

European Commission

Service for Foreign Policy Instruments

CHAR 12/096

B-1049 Bruxelles/Brussel

Belgium

E-mail: relex-sanctions@ec.europa.eu

Tel.: +(32 2) 295 66 73

▼**M6**

ANNEX IV

List of Petroleum Products

HS Code	Description
2709 00	Petroleum oils and oils obtained from bituminous minerals, crude:
2710	Petroleum oils and oils obtained from bituminous minerals, other than crude; preparations not elsewhere specified or included, containing by weight 70 % or more of petroleum oils or of oils obtained from bituminous minerals, these oils being the basic constituents of the preparations; waste oils:
2712	Petroleum jelly; paraffin wax, microcrystalline petroleum wax, slack wax, ozokerite, lignite wax, peat wax, other mineral waxes, and similar products obtained by synthesis or by other processes, whether or not coloured:
2713	Petroleum coke, petroleum bitumen and other residues of petroleum oils or of oils obtained from bituminous minerals:
2714	Bitumen and asphalt, natural; bituminous or oil-shale and tar sands; asphaltites and asphaltic rocks:
2715 00 00	Bituminous mixtures based on natural asphalt, on natural bitumen, on petroleum bitumen, on mineral tar or on mineral tar pitch (for example, bituminous mastics, cut-backs)