These rules provide accordingly that—

(*a*) if a pensionable employee under a local government superannuation scheme transfers within a prescribed period (generally twelve months) to pensionable employment under a public or local authority in Northern Ireland, a transfer value of an appropriate amount shall be payable to the Northern Ireland authority out of the superannuation fund to which he was a contributor; and

(*b*) if a pensionable employee of a Northern Ireland authority transfers within the prescribed period to pensionable employment under a local government superannuation scheme, and the Northern Ireland authority pay an appropriate transfer value the transferee is to be entitled to reckon his Northern Ireland service for the purposes of the local government superannuation scheme to which he has become subject.

In the case mentioned at (*a*) above, the Northern Ireland authorities have made the necessary provision for securing that the Scottish pensionable service will be reckonable for pension purposes under the Northern Ireland pension scheme to which a person transferring to pensionable employment there becomes subject.

These rules thus make pensionable employments in the local government service interchangeable with pensionable employments in Northern Ireland under public and local authorities, with the preservation of superannuation rights in respect of previous pensionable service.

The rules are made retrospective in effect to a limited extent, under the express powers of section 2 of the Act of 1948, but, as thereby required, transferees and local authorities affected by the retrospective provisions of the rules are given an opportunity of electing that these provisions shall not apply.

1952 No. 133

The Superannuation (Local Government, Commonwealth and Foreign Service) Interchange Rules, 1952

Made - - - -	28*th January*, 1952
Laid before Parliament	29*th January*, 1952
Coming into Operation	7*th February*, 1952

The Minister of Housing and Local Government, in exercise of the powers conferred by sections 2 and 15 of the Superannuation (Miscellaneous Provisions) Act, 1948(**a**), hereby makes the following rules:—

1.—(1) These rules may be cited as the Superannuation (Local Government, Commonwealth and Foreign Service) Interchange Rules, 1952, and shall come into operation on the seventh day of February, 1952.

(2) In these rules, unless the context otherwise requires, the following expressions have the meanings hereby assigned to them—

"the Act of 1937" means the Local Government Superannuation Act, 1937(**b**);

(**a**) 11 & 12 Geo. 6. c. 33. (**b**) 1 Edw. 8 & 1 Geo. 6. c. 68.

"approved employment" in relation to any person means employment approved by the Minister in the service of the Government of, or a University, College or other public institution in, a member country of the Commonwealth, a Colony or a foreign country, not being, in the case of employment in a Colony, employment in respect of which the Superannuation (Local Government and Colonial Service) Interchange Rules, 1949(a), apply, or employment in the service of the United Nations Organisation or its specialised Agencies or any other inter-governmental Organisation approved by the Minister;

"national service" in relation to any person means service of a description specified in the first schedule to the Reserve and Auxiliary Forces (Protection of Civil Interests) Act, 1951(b), and includes any period immediately following the termination thereof during which the person with the consent of the authority or body by whom he was employed before undertaking such service continues in similar service; and

"war service" means war service within the meaning of the Local Government Staffs (War Service) Act, 1939(c), but does not include, in the case of a person who before the termination of his war service made a claim under section 10 of the Act of 1937 or any corresponding provision of a local Act scheme for the return of contributions made by him for superannuation purposes, any part of his war service after the date on which the claim was made.

(3) The Interpretation Act, 1889(d), applies to the interpretation of these rules as it applies to the interpretation of an Act of Parliament.

2.—(1) Subject as hereinafter provided, where a person enters or has entered approved employment within a period of twelve months after the person ceased to be employed in employment by virtue of which he was or was deemed to be a contributory employee or local Act contributor or in employment (not being such employment as aforesaid) by virtue of which he was entitled to participate in any of the benefits of a superannuation fund maintained under a local Act scheme, or in the case of a person who left such employment in order to undertake war service or who immediately after leaving such employment became engaged in national service within six months after the termination of that service, and without having become entitled to any benefit under the Act of 1937 or the local Act scheme to which he was subject, other than a return of contributions, such person may, within three months after entering that employment, or if he entered that employment before the date of the coming into operation of these rules, within three months after that date or within such longer period not exceeding twelve months after that date as the authority maintaining the superannuation fund to which he was formerly a contributor may in special circumstances allow, elect by notice in writing given to the authority maintaining the superannuation fund to which he was formerly a contributor that these rules shall apply to him, and if he so elects and repays forthwith to that authority an amount equal to any sum paid to him by way of a return of contributions on or after his ceasing to hold his former employment, then—

(*a*) if he ceases to hold that employment or any other similar employment approved by the Minister for the purposes of this rule in such circumstances, including that of his age and the length of his service, including the period of approved employment, as had they obtained when he ceased

(a) S.I. 1949/1463; 1949 I, p. 3056.
(b) 14 & 15 Geo. 6. c. 65.
(c) 2 & 3 Geo. 6. c. 94.
(d) 52 & 53 Vict. c. 63.

to hold his former employment would have entitled him to any benefit under the Act of 1937 or local Act scheme, he shall be entitled, as from the date on which he ceases to hold the approved employment, to receive that benefit out of the superannuation fund associated with his former employment, calculated as if he had become entitled thereto at the date on which he ceased to hold his former employment;

(*b*) if he ceases to hold that employment or any other similar employment approved by the Minister for the purposes of this rule and he has attained the age of sixty years, but by reason of his not having attained some greater age he does not qualify for a benefit under the provisions of the preceding sub-paragraph, he shall be entitled on attaining such greater age to receive the like benefit he would have received if he had attained that age at the date he ceased to hold the approved employment; and

(*c*) if he dies while in any such approved employment as aforesaid, or if he is a person to whom the last preceding sub-paragraph applies and he dies before attaining such greater age as is therein mentioned, or if he dies after having become entitled to a superannuation allowance under either of the last preceding sub-paragraphs, there shall be paid out of the appropriate superannuation fund the like benefits (if any) in respect of him as would have been paid, in the two first-mentioned cases, if he had died immediately before ceasing to hold his former employment and a period of service equal in length to the period of the approved employment had been reckonable for the purpose of determining whether any benefit might have become payable to or in respect of him under the Act of 1937 or local Act scheme in addition to any service reckonable under the said Act or scheme, and in the last-mentioned case, if he had become entitled to the said superannuation allowance under the said Act or scheme.

(2) Where a person to whom this rule applies became engaged in war service or national service after ceasing to hold his former employment, that service shall for the purposes of calculating any benefit payable to or in respect of the person under this rule be reckonable as contributing service or service for the purposes of the local Act scheme if that service would have been so reckonable had the person returned to his former employment at the date on which he entered the approved employment, or, if it would not have been so reckonable as aforesaid by reason of the fact that the person had not complied with any condition as to the payment of contributions, if immediately after he entered the approved employment he paid to the authority maintaining the superannuation fund to which he was formerly a contributor the like sum as he would have been required to pay in order to comply with the said condition had he returned to his former employment.

(3) Notwithstanding anything in the preceding provisions of this rule—

(*a*) this rule shall not apply in relation to any person who entered approved employment before the date of the coming into operation of these rules unless he ceased to hold his former employment not earlier than the fourth day of February, 1948;

(*b*) this rule shall not apply to any person unless the authority maintaining the fund to which he was a contributor give their written consent; and

(*c*) the reference in this rule to a period of twelve months from the date on which a person ceased to be employed shall be construed in relation to a person to whom section 6 of the Superannuation (Miscellaneous

Provisions) Act, 1948, has become applicable as a reference to a period of five years from that date or such longer period as the Minister may in any particular case allow.

3. Where a person to whom the last preceding rule has become applicable ceases to hold approved employment and within twelve months thereafter again enters employment in which he is subject to the Act of 1937 or a local Act scheme, he shall be treated as if he had become so subject within twelve months after leaving the employment in which he was formerly so subject, and he shall be entitled to reckon the period of his approved employment for the purpose of determining whether he becomes entitled to a benefit under the Act of 1937 or the local Act scheme but not for any other purpose:

Provided that—

(*a*) the amount of any transfer value that may become payable in respect of a person in pursuance of this rule under the regulations for the time being in force under section 29 of the Act of 1937 shall be calculated by reference to his age at the date on which he enters his new employment; and

(*b*) where the local authority by whom the person was formerly employed have exercised the discretion conferred on them by paragraph (3) of rule 5 of these rules in respect of any period of service which but for this proviso would be reckonable at a fraction of its actual length for the purposes of section 13 of the Act of 1937 and of the regulations for the time being in force under section 29 of that Act, that period of service shall be reckonable for those purposes at its full length.

4.—(1) Where a benefit becomes payable in respect of a person by virtue of rule 2 (1) (*c*) of these rules, any provision of the Act of 1937 as modified by or under any other enactment or of a local Act scheme prescribing a minimum benefit or a method of calculating the benefit otherwise than by reference to the amount of the person's contributions or the service reckonable by him or the amount of pension which was or would in certain circumstances have become payable to him shall be disregarded.

(2) Where a benefit becomes payable to or in respect of a person to whom rule 3 of these rules has become applicable, and the amount of that benefit falls to be calculated under any provision of the Act of 1937 as modified by or under any other enactment or of a local Act scheme prescribing a minimum benefit or a method of calculating the benefit otherwise than by reference to the amount of the person's contributions or the service reckonable by him or the amount of the pension which was or would in certain circumstances have become payable to him, then, if the number of years of service so reckonable by the person as aforesaid is less than any minimum number of years of qualifying service prescribed for the receipt of the said benefit, the amount of the said benefit, in so far as it is obtained by reference to the said provision, shall be reduced in the same proportion as the difference between the number of years of service so reckonable as aforesaid and the minimum number of years of qualifying service bears to the minimum number of years of qualifying service.

5.—(1) Where a person has entered employment after having ceased to be subject to the Act of 1937 and these rules have become applicable to him in relation to that employment, then, the authority or body by whom he was formerly employed may, within three months after the date of the

receipt of the notification of his election that these rules shall apply to him, resolve that the whole or any part of his non-contributing service (if any) shall be reckonable as contributing service, and if that person was a female nurse, midwife or health visitor to whom section 16 (1) (*a*) of the Act of 1937 applied and had not completed, and could not before attaining the age of sixty years have completed forty years service, that authority or body may similarly resolve that a number of years not exceeding five shall be added to the number of years which that person had actually served in the aggregate.

(2) Where a person has entered employment after having ceased to be subject to the Act of 1937 or a local Act scheme and these rules have become applicable to him in relation to that employment, then, if any local Act modifying the Act of 1937 or the local Act scheme conferred a discretion on the authority or body by whom he was formerly employed or the authority administering the scheme to which he was formerly subject, as the case may be in calculating any benefit to which that person might have become entitled on ceasing to be employed, to add a number of years to the number of years which that person had actually served in the aggregate, that authority or body may, within three months after the date of the receipt of the notification of his election that these rules shall apply to him, exercise that discretion in relation to him, notwithstanding that he has not become entitled to that benefit.

(3) Where a person has entered employment after having ceased to be subject to the Act of 1937, and these rules have become applicable to him in relation to that employment, then, if a scheme modifying the Act of 1937 conferred a discretion on the local authority by whom he was formerly employed to increase in respect of any period of service the rate for the calculation of any benefit to which he might have become entitled on ceasing to be employed, that authority may, within three months after the date of receipt of the notification of his election that these rules shall apply to him exercise that discretion in relation to any benefit to which he may become entitled under rule 2 of these rules as if it were a benefit under the Act of 1937 as so modified as aforesaid to which he had already become entitled thereunder.

(4) For the purposes of the Act of 1937 or the local Act scheme, any period of non-contributing service of a person in respect of which a resolution has been passed under paragraph (1) of this rule, and any number of years added to a person's service under paragraph (1) or (2) of this rule shall be deemed to be a period of service which that person was entitled to reckon immediately before ceasing to hold his former employment as a period of contributing service for the purposes of the Act of 1937 or as a period of service for the purposes of the local Act scheme, as the case may be.

(5) Where the amount of any benefit to which a person becomes entitled under rule 2 of these rules, or the amount of any transfer value payable in pursuance of rule 3 of these rules, is greater than it would otherwise have been in consequence of the exercise by an authority or body of any power conferred upon them by paragraph (1), (2) or (3) of this rule, that authority or body shall repay to the superannuation fund out of which the benefit or transfer value is payable the amount by which the benefit or transfer value is greater as aforesaid.

6.—(1) Where a person in respect of whom a benefit may become payable under rule 2 of these rules was in course of making but had not completed making additional contributory payments under the Act of 1937 at the

date on which he ceased to hold his former employment, the right to receive the balance of the debt shall remain vested in the authority or body by whom he was formerly employed.

(2) Where such a person as aforesaid had been discharging but had not fully discharged a liability subsisting at the date on which he ceased to hold his former employment to pay a sum by instalments as a condition of becoming entitled to reckon any period as a period of service or contribution for the purposes of the local Act scheme, he shall be entitled to discharge the liability notwithstanding that he has ceased to hold his former employment, and if he does so the said period of service or contribution shall be taken into account in calculating any benefit which may become payable to or in respect of him under rule 2 of these rules.

(3) Where such a person as aforesaid was a local Act contributor and he would, had he not ceased to hold his former employment, have been under a liability to make payments to the superannuation fund maintained by the local Act authority by way of a contribution of a percentage of emoluments additional to the percentage contribution payable in respect of current service as a consequence of having become entitled to reckon any period as a period of service for the purposes of the local Act scheme, then, unless during his approved employment he continues to make such additional contributions as he would have been liable to make had he continued to follow his former employment and to be entitled to the remuneration thereof, account shall not be taken of the said period for the purposes of any benefit which may become payable to or in respect of him under rule 2 of these rules.

7. A person who becomes entitled to a superannuation allowance under rule 2 of these rules and who would have been entitled to surrender a part thereof had he become entitled thereto under the Act of 1937 or a local Act scheme as an employee of the authority or body whose employment he left before entering approved employment may surrender a part of that allowance in consideration of the grant of a pension to the spouse or any dependant of the person on the person's death, subject to and in accordance with the provisions of the Schedule to these rules.

8. Notwithstanding anything in the Act of 1937 or any local Act scheme, no payment shall be made thereunder by way of a return of contributions to any person who enters employment in which these rules have become applicable to him unless and until he ceases to hold that employment in circumstances in which he does not become entitled to any benefit under these rules.

9. The provision of section 35 of the Act of 1937 shall have effect in relation to a person who has ceased to be a contributory employee or to be deemed to be a contributory employee and to whom these rules have become applicable as if the reference therein to an employee of a local authority included a reference to such a person and as if the reference to regulations made under that Act included a reference to these rules.

SCHEDULE

SURRENDER OF PART OF SUPERANNUATION ALLOWANCE

1. For the purpose of the exercise by a person of the right conferred by rule 7 of these rules to surrender part of a superannuation allowance, the Local Government Superannuation (Surrender of Superannuation Allowance) Rules, 1938, shall apply, subject to the modifications made by this Schedule and any other necessary modifications.

2. Rule 5 of the said rules shall not apply.

3.—(1) Where possible, a person who may become entitled to exercise and may desire to exercise such right as is mentioned in paragraph 1 of this Schedule shall give at least six months notice in writing to the local authority maintaining the superannuation fund out of which will be payable the superannuation allowance in respect of which the right will be exercisable of the date, or probable date, on which the allowance will become payable, and shall inform them of any alteration in any date so notified.

(2) Where a person has not given a notice under the last preceding sub-paragraph but has become entitled to exercise and may desire to exercise such right as aforesaid, he shall within one month after ceasing to hold his approved employment notify the local authority aforesaid in writing that he may desire to exercise such right as aforesaid, and of the date on which he ceased to hold that employment.

(3) Upon receipt of a notification from a person under either of the last two preceding sub-paragraphs, the local authority shall forthwith furnish the person with a copy of these rules and of the Tables for the time being in force, together with a provisional estimate of the amount of the superannuation allowance which is or may become payable to him and copies of the relevant form of notification of surrender of allowance.

(4) Not more than one month after receipt of the documents mentioned in the last preceding sub-paragraph, the person may notify his desire to surrender a part of the superannuation allowance which is or may become payable to him by completing the form provided for the purpose and sending it to the local authority.

Given under the official seal of the Minister of Housing and Local Government this twenty-eighth day of January, nineteen hundred and fifty-two.

(L.S.)

Harold Macmillan,
Minister of Housing and Local Government.

EXPLANATORY NOTE

(This Note is not part of the Rules, but is intended to indicate their general purport.)

These rules enable a pensionable employee of a local authority who changes his employment for approved employment in public service in a member country of the Commonwealth or a foreign country, or approved employment in public service in a Colony in respect of which the existing Local Government and Colonial Service rules do not apply, or employment in the service of the United Nations Organisation or any of its agencies or of any other approved inter-governmental organisation, to secure the payment of superannuation benefits out of the superannuation fund to which he was a contributor while in the local government service, if, when he ceases to hold his new employment, his age and length of service are such as would have entitled him to benefits under the superannuation scheme applicable to him in his local government employment if he had continued in that employment. These benefits will be calculated by reference to the service which the person was entitled to reckon at the date on which he left his former employment.

The rules are made retrospective in effect to a limited extent, under the express powers of section 2 of the Act under which they are made.